# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

Koji SATO, et al.

Application No.

08/750,641

Filing Date

16 December 1996

Title

SCHEDULE SETTING AND PROCESSING SYSTEM

Art Unit

Office of Petitions

Examiner

L. Walsh

Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## DECLARATION OF JOHN C. ALTMILLER

I, the undersigned, John C. Altmiller, make this declaration in support of the Renewed Petition for Revival of an Application for Patent Abandoned Unintentionally Under 37 CFR § 1.137(b) for the above identified application.

- 1. Since about August 2007, I have been retired from the active practice of patent law. My current home address is 1908 Ballycor Drive, Vienna, VA 22182.
- 2. From about 1986 to July 31, 2007, I served as a partner of Kenyon & Kenyon LLC ("Kenyon") in Kenyon's Washington, DC offices. Prior to that time, I worked in Kenyon's New York office.
- 3. During my employment and tenure as a partner at Kenyon, I had numerous responsibilities, including prosecuting patent applications for Toyota Jidosha Kabushiki Kaisha ("Toyota"), the assignee of U.S. Patent Application Serial No. 08/750,641 ("641").
- 4. To my recollection, from at least 1995 to about July 2007, I was docketed as a supervising attorney/partner for many patent applications for Toyota. During this time I supervised several associates who assisted me with Toyota prosecution matters, including, Sterlon Mason, Mark H. Neblett, and William E. Curry.

- 5. It is my understanding that a Continuing Prosecution Application ("CPA") for '641 was filed with the USPTO on March 15, 2000.
- 6. It is my understanding that a Preliminary Amendment for '641 was filed with the USPTO on March 29, 2000.
- 7. It is my understanding that a Notice of Allowance and an Issue Fee Transmittal form for '641 was mailed to a prior address of Kenyon's Washington, DC office on April 17, 2000.
- 8. It is my understanding that an "Issue Fee Transmittal" form is present in the USPTO's file wrapper for '641 and that this form remains blank (uncompleted). The form is stamped "MATCH & RETURN" and further stamped "RECEIVED APR 27 2000 TC 3600 MAIL ROOM."
- 9. Based on the above information, as well as my recollection regarding the prosecution of Toyota cases, it is my conclusion that the Notice of Allowance for '641 was not received by Kenyon & Kenyon, but rather, was returned to the USPTO as undeliverable.
- 10. I did not know of the Notice of Allowance for '641 until contacted in 2008 by Shawn O'Dowd, who is currently a partner at Kenyon.
- 11. It is my understanding that a Notice of Abandonment was mailed to a prior address of Kenyon's Washington, DC office on September 13, 2000.
- 12. Based on the above information, as well as my recollection regarding the prosecution of Toyota cases, it is my conclusion that the Notice of Abandonment for '641 was not received by Kenyon & Kenyon.
- 13. I did not know of the Notice of Abandonment for '641 until contacted in 2008 by Shawn W. O'Dowd.
- 14. According to a document in Kenyon's paper files, I signed a change of correspondence address form for '641 on October 2, 2000, and that this form was stamped as received by the USPTO on October 3, 2000. I have no specific recollection relating to the signing or filing of this document. This document is attached as Exhibit 1 to this declaration.

- 15. Based on the above information, as well as my recollection regarding the prosecution of Toyota cases, it is my conclusion that the form was prepared for my signature by Cathy Ryan, a patent secretary at Kenyon who was then tasked with the responsibility of changing addresses for many of the cases then prosecuted by Kenyon. I have no specific recollection relating to this form.
- 16. According to documents in Kenyon's paper files, two other Kenyon attorneys filed documents with the USPTO relating to the correspondence address and power of attorney for the '641 application. These documents, as well as a communication relating to the filing of the documents, are attached as Exhibit 2 to this declaration. I have no specific recollection relating to these documents or the communication.
- 17. It is my understanding that the USPTO's paper file for '641 was officially "reported lost in USPTO" on November 27, 2000 ("11/27<sup>th</sup> [sic]"). Memoranda from Cathy Ryan, dated "1/12/00 [sic]" documenting this November 27<sup>th</sup> date is attached as Exhibit 3 to this declaration.
- 18. It is my understanding that I signed a "POWER TO INSPECT AND MAKE COPIES" of the '641 file on February 7, 2001. I have no specific recollection relating to the signing or filing of this document. This document is attached as Exhibit 4 to this declaration.
- 19. It is my understanding that Mark H. Neblett filed a File Reconstruction request which was stamped as received by "Licensing & Review" on March 23, 2001. This document is attached as Exhibit 5 to this declaration.
- 20. Based on the above information, as well as my recollection regarding the prosecution of Toyota cases, it is my conclusion that the USPTO informed us that the file for '641 had been lost, that we filed a request for reconstruction of the '641 file, and then awaited a USPTO response.
- 21. It is my understanding that an electronic docket entry entitled "PTO lost file-awaiting OA" was entered into Kenyon's electronic docketing system on July 15, 2002." Evidence of the docket entry is attached as Exhibit 6 to this declaration.

- 22. It is my understanding that I signed a document entitled "STATUS INQUIRY" on September 8, 2003. I have no specific recollection relating to the signing or filing of this document. The document "respectfully request[s] that the Examiner inform Applicants of the status of ['641]." The paper further indicates that "The U.S. Patent and Trademark Office notified Applicants that the subject file had been lost and, accordingly, Applicants filed a copy of the relevant documents forming the file history in a File Reconstruction on March 23, 2001." The paper also authorized the Commissioner to "charge any fee relevant to this filing to Kenyon & Kenyon Deposit Account No. 11-0600." The paper has Kenyon & Kenyon's 1500 K Street, N.W. address at the bottom of the page. This document is attached as Exhibit 7 to this declaration.
- 23. Based on the above information, as well as my recollection regarding the prosecution of Toyota cases, it is my conclusion that a review of my pending cases, which revealed the "PTO lost file" entry, prompted the filing of the Status Inquiry of September 8, 2003.
- 24. During my employment at Kenyon, neither the USPTO nor anyone at Kenyon advised me that '641 had been abandoned.
- 25. During my employment at Kenyon, neither the client nor anyone at Kenyon requested me to allow '641 to become abandoned.
- 26. After leaving my employment at Kenyon, I have not been involved in prosecution of, or been provided information regarding '641, until being recently advised of the present Petition.

I declare that all of the above statements made based on my own knowledge are true and that all of the above statements that were made on information and belief are believed to be true, and further declare that these statements are made with the knowledge that knowing, willful and materially false statements, as are defined under Section 1001 of Title 18 of the United States Code, are punishable by fine, imprisonment, or both under Section 1001, and that such statements may affect the validity of the any patent issuing from '641 if the application is revived.

Dated: 9 December 2008

John C. Altmiller

# EXHIBIT 1 TO ALTMILLER DECLARATION

			٠,					
Please type a plus sign (+) for the Paperwark Reduction		+ ons are required to	Palent a respond to a collection o		proved for use nerk Office: U, ton unless it d	C DESCRIPTION	HEUT OF PA	651-0035
as the Paperwalk Technique		- Inportunitari	Application Num		08	/25	~ ()	11
CHANGE OF CORRESPONDENCE ADDRESS Application Address to: Assistant Commissioner for Patents Washington, D.C. 20231				00/	730	76/		
		First Named Invi		-/-	116	76		
		Group Art Unit	41111	23	<u></u>			
		Examiner Name		1	10			
		Attorney Docket N	umber	123	792	1/2.3		
					70	vola	1 405	hirta
Please change the Co:  X Customer  OR	Number [	23838 Customer Nur		fied ap	plication	23 PATENT.	8/38 WEMARK COPPL	E.
Firm or	<u> </u>							
Individual Name			010				milana, joi, joi jamii (12), j. es.	
Address								
Address ·		F W	03 <sub>202</sub> §		ı			
		Ęs.		- 1		712	-	
City	1	120	k/Stati	<u> </u>		3 ******		
Country		TO TO THE PERSON NAMED IN COLUMN TWO IS NOT THE PERSON NAMED IN COLUMN TWO IS NAMED IN COLU	A CARTON	<u> </u>				
Country Telephone		<b>*</b>	MATRIC OFFI	Fax				
Telephone  This form canno change the data Number Data Ch	associated wange" (PTO/S  Applicant.	ith an existing the cord of the c	data associa	Fax ted wi	ith a Cus er use "Re	stomer I	Number.	To ner
Telephone  This form canno change the data Number Data Ch	associated wange" (PTO/S  Applicant.	ith an existing its an existing its answer. The cord of the cord o	e data associa ng Customer N entire interest. 3.73(b) is enclo	Fax ted wi	ith a Cus	stomer I	Number.	To
Telephone  This form canno change the data Number Data Ch	associated wange" (PTO/S  Applicant.  Assignee of receptificate und	ith an existing its an existing its answer. The cord of the cord o	e data associa ng Customer N entire interest. 3.73(b) is enclo	Fax ted wi	er use TK	stomer I	Number.	To
Telephone  This form canno change the data Number Data Ch  I am the :	associated wange" (PTO/S  Applicant.  Assignee of receptificate und	ith an existing its an existing its answer. The cord of the cord o	e data associa ng Customer N entire interest. 3.73(b) is enclo	fax ted with	er use TK	stomer I	Number.	To

# **EXHIBIT 2 TO ALTMILLER DECLARATION**

PATENT Docket No. 77792/23

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS

Koji SATO, et al.

SERIAL NO.

08/750,641

FILED

December 16, 1996

FOR

SCHEDULE SETTING AND PROCESSING SYSTEM

GROUP ART UNIT:

2316

**EXAMINER** 

O. Hernandez

Assistant Commissioner for Patents Washington D.C. 20231

#### CHANGE OF CORRESPONDENCE ADDRESS

SIR:

Notice is hereby given that the correspondence address in the above-identified application has changed. Please address all future communications regarding this application to:

> John C. Altmiller KENYON & KENYON 1500 K Street, N.W. Washington, D.C. 20006

This address change is effective immediately. Thank you.

Respectfully submitted,

KENYON & KENYON

Dated: October 30, 2000

John C. Altmiller (Reg. No. 25,951) Robert L. Hails, Jr. (Reg. No. 39,702)

KENYON & KENYON 1500 K Street, N.W., Suite 700 Washington, DC 20005

(202) 220-4200

(202) 220-4201 (fax)

DC01 322791 v 1





PATENT Docket No.: 77792/23

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**APPLICANT** 

SERIAL NO.

08/750641

**FILING DATE** 

December 16, 1996

FOR

SCHEDULE SETTING AND PROCESSING SYSTEM

Commissioner of Patents and Trademarks Washington D.C. 20231

# ASSOCIATE POWER OF ATTORNEY

Sir:

Please recognize John C. Altmiller, (Reg. No. 25,951) and other registered practitioners of Kenyon & Kenyon included in the Customer Number provided below, with full power to prosecute said application, to make alterations and amendments therein, and to transact all business in the Patent and Trademark Office connected therewith.

CUSTOMER NUMBER: 23838

Respectfully submitted,

Date Wrenku & 2000

Edward W. Greason Reg. No. 18,918

KENYON & KENYON One Broadway New York, NY 10004 (212) 425-7200 (telephone)

DC01 333824 V 1

Inserra, Barbara

Front Sent To: Subject: Noblett, Mark Wednesday, November 01, 2000 10:14 AM JICAKKOS cont Greason, Edward Halls, Robert FW: Toyota 77792/23 Teyota / Veshick

In response to John Atmiller's questions, below.

The 1025 Conn. address was the only one in the file (although 1500 K was on correspondence Sterion Mason sent to the PTO in March 2000). Bob Hails filed the formal address change to 1500 K on Monday.

Hayota/Yashiya (OFF)

Mark H. Neblett KENYON & KENYON 1500 K Street, N.W. Washington, DC 20005 (202) 220-4200 (202) 220-4201 (facsimile) (202) 220-4232 (direct) mneblett@kenyon.com

NOTE: The information in this e-mail message is confidential and intended only for the use of the intended recipient. If the reader of this message is not the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error, please immediately notify us by e-mail, and destroy the original message. Thank you.

Mark,

I got the following from JCA.

Bob

—Original Message— From: JCAKK@cs.com [mailtr:JCAKK@cs.com] Sent: Tuesday, October 31, 2000 12:23 PM To: RHalls@renyon.com Subject: Re; Toyota 77792/23

Let Mark Netzlett know. Was there a DC correspondence address for sure? Also let EWG know.

DC01 748944 v1

## **EXHIBIT 3 TO ALTMILLER DECLARATION**

CATHY RYAN KENYON & KENYON

FRICHE TERM TEN-CENT AND TANK FRICHES TO TO TO TO TO THE TEN CONTROL TO TO TO THE TEN CONTROL TO TO THE TEN CONTROL TO THE TEN

Panelli diri iyon 200 4260 Fan 18021 820 4250 Ganari anandkanyan diri

Conversation W/Group receptionist

File has been reported lost
in USPTO (11/07th). The

last known destination

For this file was the

file repository. There

is no record of

any one ordering this

file. I recommend contacting

the Examiner to see if

they need our felle to

rebuild the lost one.

#### CATHY RYAN KENYON & KENYON

EXECUTIVE NO. 2012 100
WESHING OF THE STATE OF THE STATE

# **EXHIBIT 4 TO ALTMILLER DECLARATION**

LOST 1/16/01

PATENT Docket No. 77792/23

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors

\*\*

Koji SATO ci al,

Serial No.

08/750,641

Filed

... \* ...

For

December 16, 1996

\_\_\_

SCHEDULE SETTING AND PROCESSING SYSTEM

Examiner

O. Hernandez

Assistant Commissioner of Patents

Washington D.C. 20231

#### POWER TO INSPECT AND MAKE COPIES

SIR:

Denise English and Jay Johnson are hereby authorized to inspect and copy the file for the above-captioned matter.

Respectfully submitted,

KENYON & KENYON

Date: 2/7/01, 2001

John C. Altmiller, Registration No. 25,951

KENYON & KENYON

1500 K Street, N.W, Suite 700 Washington, D.C. 20005 Telephone: (202) 220-4200 Facsimile: (202) 220-4201

## **EXHIBIT 5 TO ALTMILLER DECLARATION**

PATENT

Docket No.: 77792/23

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT

Koji SATO, et al.

SERIAL NO.

08/750,641

FILING DATE

December 16, 1996

FOR.

SCHEDULE SETTING AND PROCESSING SYSTEM

GROUP ART UNIT:

2316

**EXAMINER** 

O. HERNANDEZ

Assistant Commissioner for Patents

Washington, D.C. 20231

## FILE RECONSTRUCTION

SIR:

We have been informed by the Office that the U.S. Patent and Trademark Office's file for the above-referenced case has been lost. Please find attached a copy of the relevant documents forming the file history for this application from the applicant's files for use in reconstructing the Office's file.

Please reconstruct the file. Thank you for your attention to this matter. Please contact the undersigned at 202-220-4232, for any questions.

Respectfully submitted,

Mark H. Neblett

Reg. No. 42,028

#### EXHIBIT 6 TO ALTMILLER DECLARATION

IPSScottlet Kanyon & Kenyon

Patent Jacket Data Sheet

S. O'DOWD DANIEL G. SHANLEY

I. SCHEUGENPFLUG

P25830 USW

Client Rol Office DC

Tillo SCHEDULE SETTING AND PROCESSING SYSTEM

Prosecution Particulars

Prosecution Status Live - Pending Priority Claimed 6-298290

01-Dec-1994 Japan 01-Dec-1995 WO 01-Dec-1995 US [PCT] 15-Doc-1995

inventor Inventor tolnovni

Inventor

SATO, Koji YAMASHITA, Masanobu

**Parties** 

KIZU, Mesalumi

MORITA, Makoto

Applicant/Regd.TOYOTA JIDOSHA KABUS

Red/Frame 8387 / 229

Explry Agent KENYON N.Y. Renewal Particulars

Parent PGT/JP95/02459

Application 08/750641

Renewal Status Pre-Grant Annuity Next Due

Filed on

Grant

Publication Allowed Grant Fees Paid

Next Cost Cost Restof Life

1 33

. 414

Response Filed Last Date Duo Date Comm Date Communication / Action 16-Dec-1996 16-Dec-1996 16-Dec-1996 16-Dec-1996 30 MONTH NATIONAL PHASE APPLIC 16-Dec-1996 16-Dec-1996 16-Dec-1996 16-Dec-1996 IDS Due 16-Dec-1996 16-Dec-1996 16-Dec-1996 16-Dec-1996 Preliminary Amendment 16-Dec-1996 16-Dec-1995 16-Dec-1996 16-Doc-1996 Recordal 14-Feb-1997 NOTICE OF ACCEPTANCE OF APPLIC 14-Mer-1997 Filing Recept 18-Mar-1997 18-Mac-1997 18-Mar-1997 18-Mar -1097 IDS - supp'i IDS 07-May-1997 07-May-1997 07-May-1997 07-May-1997 Recordal 02-Jun-1997 02-Jun -1997 02-Jun-1997 02-Jun -1997 IDS - suppl IDS 27-Jun -1997 27-Jun-1897 27-Jun-1997 27-Jun -1997 IDS - suppy IDS 04-Sep-1998 04-Sep-1998 04-Sep-1998 04-Sep-1998 IDS - supp'i IDS 17-Mar-1999 17-Mar-1999 17-Mar-1999 17-Mar -1999 IDS - supp' IDS 12-Oct -1999 12-Oct -1999 12-Oct -1999 12-Oct -1999 Ext of Time Requisted 09-Nov-1999 09-Nov-1999 12-Oct -1999 03-Jun -1999 Respond to Official Action 23-Jan -2000 23-Jan -2000 23-Feb-2000 23-Nov-1999 R116 Response to Final Due 15-Mar-2000 23-Feb-2000 23-May-2000 23-Nov-1999 Notice of Appeal Due 15-Mar-2000 15-Mar-2000 15-Mar-2000 CPA Appl'n. Filed 15-Mar-2000 15-Mar-2000 15-Mar-2000 Suppl. Info. Discl. State. 08-509-2003 08-Sep-2003 08-Sep-2003 08-Sep-2003 Status Inquiry File IDS per 11/13/03 agont let 05-Dec-2003 18-Nov-2003 05-Dec-2003 09-Sep-2004 09-\$ep-2004 09-Sep-2004 09-Stp -2004 Supplemental IDS Filed 15-Sep-2004 15-Sep-2004 15-Sep-2004 15-Scp-2004 Leiler to PTO 05-Aug-2008 C5-Aug-2008 06-Aug-2008 08-Aug-2008 Petition Filed 06-Aug-2008 05-Aug-2008 06-Aug-2008 08-Aug-2008 Request Continued Examination 29-Feb -2000 29-Feb-2000 Advisory Action Received 15-Jul -2002 15-44 -2002 PTO lost file-swelling OA 12-Sep-2008 12-Sep-2008 Decision on Petition - Dismisse Page 1 of 2 FCO1\_calear\_salo\_sheet 77792/23 tipesied ed MAH YYY Upes Printed 15 Sap 2009

#### **EXHIBIT 7 TO ALTMILLER DECLARATION**

PATENT DOCKET NO.: 77792/23

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S):

Koji SATO et al.

SERIAL NO .:

08/750,641

FILED:

December 16, 1996

FOR:

SCHEDULE SETTING AND PROCESSING SYSTEM

ART UNIT:

2316

EXAMINER: O. Hernandez

COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, VA 22313-1450

#### STATUS INQUIRY

SIR:

Applicants for the above-cited U.S. application respectfully request that the Examiner inform Applicants of the status of the application. Applicants filed the application on December 16, 1996 and received an official Filing Receipt on March 14, 1997.

The U.S. Patent and Trademark Office notified Applicants that the subject file had been lost and, accordingly, Applicants filed a copy of the relevant documents forming the file history in a File Reconstruction on March 23, 2001.

The Commissioner is authorized to charge any fee relevant to this filing to Kenyon & Kenyon Deposit Account No. 11-0500. A duplicate of this sheet is attached.

Respectfully submitted,

Date: September 8, 2003

John C. Altmiller (Reg. No. 25.951)

KENYON & KENYON 1500 K Street, N.W., Suite 700 Washington, DC 20005 Tel: (202) 429-1776 Fax: (202) 429-0796 The stamp of the Patent Office hereon may be taken as a classified ging the receipt, on the date stamped, of:

INVENIOR(S): SERIAL NO.: FILED: TITLE: GROUP ART: EXAMINER:

Koji SATO etal, 08750,641 December 16, 1995 SCHEDULE SETTING AND PROCESSING SYSTEM 2316 O. Herdandez

PAPERS FILED:

I. Status Inquiry

ICA/imq

September 8, 2003

77792/23

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

Koji SATO, et al.

Application No.

08/750,641

Filing Date

16 December 1996

Title

SCHEDULE SETTING AND PROCESSING SYSTEM

Art Unit

Office of Petitions

Examiner

L. Walsh

Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# DECLARATION OF MARK H. NEBLETT

I, the undersigned, Mark H. Neblett (Reg. No. 42,028), make this declaration in support of the Renewed Petition for Revival of an Application for Patent Abandoned Unintentionally Under 37 CFR § 1.137(b) for the above identified application.

- 1. Since about September 2003, I have been an employee of the law firm of Crowell & Moring LLP in Washington, DC. During this period, I have served as counsel, specializing in preparing and prosecuting patent applications.
- 2. From about March 31, 1999 to July 31, 2003, I was an employee of Kenyon & Kenyon ("Kenyon") in Washington, DC. During this period, I served as an associate.
- 3. During my employment at Kenyon, I was responsible for prosecuting patent applications in the mechanical and electrical arts, including prosecuting patent applications for Toyota Jidosha Kabushiki Kaisha, the assignee of U.S. Patent Application Serial No. 08/750,641 ("641").
- 4. Prior to March 22, 2001, to my recollection, Kenyon was contacted by the United States Patent and Trademark Office (the "Office") and informed that the Office had lost the file for U.S. Patent Application Serial No. 08/750,641. I was asked to provide a copy of the Kenyon file for this application to the USPTO, and was told that this copy would be used by the USPTO to reconstruct the official file.

- 5. Attached as Exhibit 1 to this Declaration is a copy of a letter I signed enclosing a copy of Kenyon's file for United States Patent Application No. 08/750,641. In that letter Kenyon asked that the file be reconstructed at the USPTO.
- 6. I directed my assistant to prepare Exhibit 1 and its attached application file, and directed my assistant to file Exhibit 1 with the USPTO.
- 7. Exhibit 2 to this declaration is a photocopy of a return date-stamped postcard received from the USPTO indicating the receipt of Exhibit 1 by the USPTO.
- 8. During my employment at Kenyon, I do not know of a case where I requested the USPTO to reconstruct an application file after that application was known by me to be abandoned.
- 9. During my employment at Kenyon, neither the USPTO nor anyone at Kenyon advised me that '641 had been abandoned.
- 10. During my employment at Kenyon, neither the client nor anyone at Kenyon requested me to allow '641 to become abandoned.
- 11. After leaving my employment at Kenyon, I have not been involved in prosecution of, or been provided information regarding, the '641 application, until being recently advised of the present Petition.

I declare that all of the above statements made based on my own knowledge are true and that all of the above statements that were made on information and belief are believed to be true, and further declare that these statements are made with the knowledge that knowing, willful and materially false statements, as are defined under Section 1001 of Title 18 of the United States Code, are punishable by fine, imprisonment, or both under Section 1001, and that such statements may affect the validity of the any patent issuing from '641 if the application is revived. MOD Meller

Dated: 12/4/08

Mark H. Neblett

# **EXHIBIT 1 TO NEBLETT DECLARATION**

PATENT

Docket No.: 77792/23

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT

Koji SATO, et al.

SERIAL NO.

08/750,641

FILING DATE

December 16, 1996

FOR

SCHEDULE SETTING AND PROCESSING SYSTEM

GROUP ART UNIT:

2316

EXAMINER

O. HERNANDEZ

Assistant Commissioner for Patents

Washington, D.C. 20231

FILE RECONSTRUCTION

#### SIR:

We have been informed by the Office that the U.S. Patent and Trademark Office's file for the above-referenced case has been lost. Please find attached a copy of the relevant documents forming the file history for this application from the applicant's files for use in reconstructing the Office's file.

Please reconstruct the file. Thank you for your attention to this matter. Please contact the undersigned at 202-220-4232, for any questions.

Respectfully submitted,

WOD. Well

Mark H. Neblett Reg. No. 42,028

DC01 361126 v 1

## **EXHIBIT 2 TO NEBLETT DECLARATION**

The stamp of the Patent Office hereon \_ ay he taken as acknowledging the receipt, on the date stamped, of

APPLICANTS:

Kojji SATO, et al.

SERIAL NO.:

08/750,641

FILED: FOR: December, 16, 1996

SCHEDULE SETTING AND PROCESSING

GROUP ART UNIT: 2316

PAPERS FILED:

1. File Reconstruction

**科·福德·瓦尔森德** 

MHN/cct

77792/23

3/22/0

1

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

Koji SATO, et al.

Application No.

08/750,641

Filing Date

16 December 1996

Title

SCHEDULE SETTING AND PROCESSING SYSTEM

Art Unit

Office of Petitions

Examiner

L. Walsh

Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# DECLARATION OF JUDITH M. RUSSO

I, the undersigned, Judith M. Russo, make this declaration in support of the Renewed Petition for Revival of an Application for Patent Abandoned Unintentionally Under 37 CFR § 1.137(b) for the above identified application.

- 1. From about November 6, 2002, I have been an employee of Kenyon & Kenyon LLP ("Kenyon") in Kenyon's Washington, DC office. My current title is Intellectual Property Support Coordinator.
- 2. I currently supervise the operation of Kenyon's computerized docketing system for Kenyon's Washington DC office. I am one of over a dozen employees in Kenyon's docketing department.
- 3. On July 10, 2008, to my recollection, I was consulted by Ingrid Hodge regarding the possible abandoned status of U.S. Patent Application Serial No. 08/750,641 ("'641").
- 4. I have examined the docketing records for '641, and see no evidence that Kenyon received a paper or communication prior to July 2008 indicating that '641 was abandoned. A copy of the docketing report for '641 as of September 15, 2008 is attached as Exhibit 1 to this declaration.

- 5. As of July 10, 2008, Kenyon's electronic docketing system listed '641 as a pending application and as "PTO lost file-awaiting OA," where "OA" means "Office Action." Kenyon's electronic docketing system indicates that the "PTO lost file-awaiting OA" status was entered into the system on July 15, 2002.
- 6. A "PTO lost file-awaiting OA" status would appear on various docketing reports, but such a status would not directly prompt the need for action by a prosecuting attorney, as such status makes it clear that Kenyon is awaiting an Office Action from the USPTO.
- 7. Prior to July 10, 2008, neither the USPTO, nor anyone at Kenyon advised me that '641 had been abandoned.
- 8. To my knowledge and belief, the entire delay in filing the required reply for '641 from the due date for the reply until the filing of a grantable petition pursuant to 37 CFR 1.137(b) was unintentional.

I declare that all of the above statements made based on my own knowledge are true and that all of the above statements that were made on information and belief are believed to be true, and further declare that these statements are made with the knowledge that knowing, willful and materially false statements, as are defined under Section 1001 of Title 18 of the United States Code, are punishable by fine, imprisonment, or both under Section 1001, and that such statements may affect the validity of the any patent issuing from '641 if the application is revived.

Dated: 12-10-08

Judith M. Russo

# **EXHIBIT 1 TO RUSSO DECLARATION**

£PSSdoiNet Kenyon & Kenyon

#### Patent Jacket Data Sheet

S. O'DOWD DANIEL G. SHANLEY

P25830 USW Client Ref Office DC

I. SCHEUGENPFLUG

Title SCHEDULE SETTING AND PROCESSING SYSTEM

Prosecution Particulars

Parties
Applicant/Regd.TOYOTA JIDOSHA KABUS

Prosecution Status Live - Pending Priority Claimed 6-298290 Parent PCT/JP95/02459

01-Dec-1994 Japan 01-Dec-1995 WO 01-Dec-1995 US [PCT] Inventor KIZU, Masatumi Inventor MORITA, Makoto Inventor SATO, Koji

Application 08/750641
Filed on
Publication

16-Dec-1996

Inventor YAMASHITA, Masanobu Reel/Frame 8387 / 229

Allowed
Grant Fees Paid
Grant
Expiry

3 p #

Agent KENYON N.Y.

Renewal Particulars

Renewal Status Pre-Grant

Annuity Next Due Next Cost Cost Restof Life

Comm Date	Communication / Action	D	ue Date	Last Date	Response Filed
16-Dec-1996	30 MONTH NATIONAL PHASE APPLIC	16	3-Dec-1996	16-Dec-1996	16-Dec-1996
6-Dec-1996		16	3-Dec-1996	16-Dec-1996	16-Dec-1996
	Preliminary Amendment	16	3-Dec-1996	16-Dec-1996	16-Dec-1996
6-Dec-1996		16	5-Dec-1996	16-Dec-1998	16-Dec-1996
	NOTICE OF ACCEPTANCE OF APPLIC				
	Filing Receipt				
	SCI Fogue - SQI	18	3-Mar-1997	18-Mar-1997	18-Mar-1997
7-May-1997		07	May-1997	07-May-1997	07-May-1997
	IDS - suppli IDS	02	2-Jun -1997	02-Jun -1997	02-Jun -1997
	IDS-suppi IDS	27	7-Jun -1997	27-Jun -1997	27-Jun -1997
	IDS—suppy IDS	04	1-Sep-1998	04-Sep-1998	04-Sep-1998
	IDS - supp IDS	17	7-Mar-1999	17-Mar-1999	17-Mar-1999
	Ext of Time Requisted	13	2-Oct -1999	12-Oct -1999	12-Oct -1999
	Respond to Official Action	09	-Nov-1599	09-Nov-1999	12-Oct -1999
	R116 Response to Final Due	23	3-Jan -2000	23-Jan -2000	23-Feb-2000
	Notice of Appeal Due	23	1-Feb-2000	23-May-2000	15-Mar-2000
, ,	CPA Appl'n. Filed	15	5-Mar-2000	15-Mar-2000	15-Mar-2000
1.	Suppl. Info. Disci. State.	15	-Mar-2000	15-Mar-2000	15-Mar-2000
8-Sen-2003	Status Inquiry	08	3-Sep-2003	08-Sep-2003	08-Sep-2003
	File IDS per 11/13/03 agent let	05	-Dec-2003	05-Dec-2003	18-Nov-2003
9-Sen -2004	Supplemental IDS Filed	09	1-Sep-2004	09-Sep-2004	09-Sep-2004
	Letter to PTO	16	-Sep-2004	15-Sep-2004	15-Sep-2004
	Petition Filed	00	-Aug-2008	06-Aug-2008	06-Aug-2008
	Request Continued Examination	06	i-Aug-2008	06-Aug-2008	06-Aug-2008
र राज्य जातात	Advisory Action Received	29	Feb -2000	29-Feb-2000	
	PTO lost file-awaiting OA	16	-Jul -2002	15-Jul -2002	
2-Sep-2008	Decision on Pelition - Dismisse			12-Sep-2008	
(د ده ده د د الاساود	YYY Upda Printed 15 Sep 2008	POW BOOK OVER	्यकेर्य दे	77792/25	Fage 1 o

# Patent Jacket Data Sheet

10-Sep-2008 Response Re Petition due

10-Nov-2008 10-Mar-2009

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

Koji SATO, et al.

Application No.

08/750,641

Filing Date

16 December 1996

Title

SCHEDULE SETTING AND PROCESSING SYSTEM

Art Unit

Office of Petitions

Examiner

L. Walsh

Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# DECLARATION OF SHAWN W. O'DOWD

I, the undersigned, Shawn W. O'Dowd, make this declaration in support of the Renewed Petition for Revival of an Application for Patent Abandoned Unintentionally Under 37 CFR § 1.137(b) ("Renewed Petition") for the above identified application.

- 1. Since about June 12, 1989, I have served as an employee and a partner of Kenyon & Kenyon LLP ("Kenyon") in Kenyon's Washington, DC and San Jose offices.
- 2. During my employment and tenure as a partner at Kenyon, I had numerous responsibilities, including prosecuting patent applications for Toyota Jidosha Kabushiki Kaisha ("Toyota"), the assignee of U.S. Patent Application Serial No. 08/750,641 ("641").
- 3. On or about July of 2007, I was docketed as a supervising partner for the '641 application. I was docketed as a supervising partner because of the retirement of a prior supervising attorney/partner, John C. Altmiller.
- 4. Prior to July of 2007, I had prosecuted and/or supervised the prosecution of numerous other Toyota prosecution matters.
- 5. On August 8, 2008, I filed a petition under 37 CFR 1.137(b) (the "Original Petition"), indicating that any delay in paying the issue fee in '641 was unintentional.

- 6. The USPTO mailed a Dismissal relating to the Original Petition on September 10, 2008.
- 7. I had several questions regarding the Dismissal, and attempted to contact Examiner Walsh regarding the same; however, Examiner Walsh was away from her usual examining functions for much of September and October (e.g., for extended training). On October 24, 2008, I was able to discuss the petition with Examiner Walsh.
- 8. My primary concern was the Examiner's many requirements relating to "all persons . . .having firsthand knowledge of the circumstances surrounding the protracted delay," such as the requirement for statements and "[c]opies of any correspondence relating to the filing, or to not filing a further reply to the outstanding Office action." (See Dismissal at 2-3.) After the discussion with Examiner Walsh on October 24, 2008 involving the facts and witnesses available to us at this time, Examiner Walsh indicated that especially in light of the fact that only the payment of an issue fee was at issue in this case, it would not be necessary at this time to go to extraordinary measures to get statements from all persons having firsthand knowledge of the circumstances surrounding the delay.
- 9. Nevertheless, I, as well as associates at Kenyon, have made extensive efforts in the preparation of the Renewed Petition. We have intensely examined the paper file wrapper of the '641 application to determine the identity of the various persons at Kenyon who worked on the prosecution of '641 application and to compile the many efforts by these Kenyon attorneys and staff to further prosecution of the '641 application. This investigation included contacting several former Kenyon employees, including, *inter alia*, an attorney who was no longer engaged in the active practice of patent law, and an attorney who worked for a law firm in Tokyo, Japan. Through contacting these former Kenyon employees, Applicants are able to provide further detail regarding the events which ultimately resulted in the delay in this case.
- 10. In October and November of 2008, I contacted Mark Neblett regarding '641. He had no specific information or knowledge relating to '641, the abandonment thereof, and the discovery of the same. However, as he could give some insight as to the circumstances surrounding the filing of the File Reconstruction request of March 23, 2001, Mr. Neblett has submitted a declaration in support of the Renewed Petition.

- 11. In October of 2008, I contacted John Altmiller, a Kenyon partner listed on the '641 declaration, regarding '641. He had no specific information or knowledge relating to '641, the abandonment thereof, and the discovery of the same. However, as he was a supervising attorney for the case until his retirement in or about March of 2007, Mr. Altmiller has submitted a declaration in support of the Renewed Petition.
- 12. In November of 2008, I contacted Sterlon Mason, a former prosecuting associate who resigned from Kenyon on or about July 21, 2000, regarding '641. He had no specific information or knowledge relating to '641, the abandonment thereof, and the discovery of the same.
- 13. In November of 2008, I contacted Frank Pietrantonio, a partner who resigned from Kenyon on or about May 1, 2000, regarding '641. He had no specific information or knowledge relating to '641, the abandonment thereof, and the discovery of the same. While his name was listed on the '641 declaration, Frank Pietrantonio indicated to me that he was not substantively involved with any Toyota prosecutions.
- 14. In November of 2008, I contacted Japanese counsel for Toyota regarding '641. Japanese counsel for Toyota reviewed their file history for '641 and confirmed that Toyota has always been desirous of obtaining a patent related to '641, and that no instructions had been received or given to cease prosecution of '641.
- 15. I have spoken with Daniel Shanley, a current Kenyon associate regarding '641. Prior to July 10, 2008, he had no specific information or knowledge relating to '641, the abandonment thereof, and the discovery of the same. Mr. Shanley has submitted a declaration in support of the Renewed Petition.
- 16. I have spoken with Ingrid Hodge, a current Kenyon employee regarding '641. Prior to July 10, 2008, she had no specific information or knowledge relating to '641, the abandonment thereof, and the discovery of the same. Ms. Hodge has submitted a declaration in support of the Renewed Petition.
- 17. I have spoken with Judith Russo, a current Kenyon employee regarding '641. Prior to July 10, 2008, she had no specific information or knowledge relating to '641, the

abandonment thereof, and the discovery of the same. Ms. Russo has submitted a declaration in support of the Renewed Petition.

- 18. Based on my review of a copy of USPTO's filewrapper for '641, the USPTO mailed an Advisory Action regarding '641 to Kenyon on February 29, 2000. The address on the cover page of the Advisory Action listed Kenyon's address as "1025 CONNECTICUT AVENUE NW." (Exh. 1.)
- 19. On February 29, 2000, the offices of Kenyon were no longer located at "1025 CONNECTICUT AVENUE NW" in Washington, DC. Less than one year prior to February 29, 2000, in March of 1999, Kenyon had moved its offices to 1500 K Street, NW, also in Washington DC.
- 20. As evidenced by an entry into Kenyon's computerized docketing system, the Advisory Action of February 29, 2000 was received by Kenyon. (Exh. 2.)
- 21. In response to the Advisory Action, Applicants filed a Continued Prosecution Application (CPA) with the USPTO on March 15, 2000, along with a fee of \$800. (Exh. 3.) The mailing of the CPA was entered into Kenyon's computerized docketing system. (Exh. 2.)
- 22. The Transmittal Form for the CPA filed March 15, 2000 listed "1500 K Street, N.W." as the address of Kenyon. (Exh. 3.)
- 23. Based on my review of a copy of Kenyon's filewrapper for '641, on March 29, 2000, Applicants filed a Preliminary Amendment with the USPTO via facsimile. (Exh. 4.) The Preliminary Amendment faxed to the USPTO on March 29, 2000 listed "1500 K Street, N.W." as the address of Kenyon. (Exh. 4.)
- 24. Based on my review of a copy of USPTO's filewrapper for '641, on April 17, 2000, the USPTO mailed a Notice of Allowability to Kenyon at "1025 CONNECTICUT AVENUE NW" in Washington, DC. The Notice of Allowability was "responsive to amendment filed 3/29/00." (Exh. 5.)

- 25. Prior to April 17, 2000, staff at Kenyon had been instructed to change the correspondence addresses of the many cases then prosecuted by Kenyon's Washington, DC office. However, by April 17, 2000, all cases had not yet been processed, including '641.
- 26. Based on my review of the docket report for '641 (Exh. 2), Kenyon did not receive the Notice of Allowability.
- 27. Based on my review of a copy of USPTO's filewrapper for '641, a copy of the "ISSUE FEE TRANSMITTAL" form is contained within the USPTO filewrapper for '641. The copy is not signed. Furthermore, the copy is stamped "MATCH & RETURN" and "RECEIVED APR 17 2000 TC 3600 MAILROOM." (Exh. 6.)
- 28. The Notice of Allowability indicates that an issue fee of \$1210 was due on July 17, 2000. (Exh. 5.)
- 29. Based on my review of the file wrapper and docket report for '641 (Exh. 2), there is no evidence that Kenyon paid or attempted to pay a \$1210 issue fee for '641.
- 30. Based on my review of a copy of USPTO's filewrapper for '641, on September 13, 2000, the USPTO mailed a Notice of Abandonment to Kenyon at "1025 CONNECTICUT AVENUE NW" in Washington, DC. The Notice of Abandonment was stamped with a message "ABANDONMENT CONTACT PERSON IS: TOM HAWKINS 305-8380." (Exh. 7.)
- 31. To my knowledge, there is no evidence in Kenyon files, nor in the '641 USPTO file wrapper that Tom Hawkins, or anyone else at the USPTO, attempted to contact Kenyon via telephone prior to mailing the Notice of Abandonment.
- 32. Based on my review of the docket report for '641 (Exh. 2), Kenyon did not receive the Notice of Abandonment.
- 33. Based on my review of a copy of Kenyon's filewrapper for '641, on October 2, 2000, John C. Altmiller (Reg. No. 25,951) of Kenyon signed a Change of Correspondence Address changing the correspondence address of '641 to that of customer number 23838. (Exh. 8.)

- 34. Based on my review of a copy of USPTO's filewrapper for '641, the Change of Correspondence Address was stamped as received by OIPE on October 3, 2000, as received by Technology Center 2800 on October 4, 2000, and as received by Technology Center 2700 on October 6, 2000. There is no other evidence in the '641 USPTO filewrapper that the Change of Correspondence Address signed October 2, 2000 was considered by the USPTO. (Exh. 9.)
- 35. Based on my review of a copy of Kenyon's filewrapper for '641, on October 30, 2000, Robert Hails (Reg. No. 39,702) of Kenyon transmitted by facsimile a second Change of Correspondence Address to Examiner Olga Hernandez. The second Change of Correspondence Address form indicated that future communications should be sent to John C. Altmiller of Kenyon at 1500 K Street, N.W. in Washington, DC. (Exh. 10.)
- 36. Based on my review of a copy of the USPTO's filewrapper for '641, the second Change of Correspondence Address was stamped as received by Group 3600 on October 30, 2000. This paper is marked as paper #19, initialed by DRS, and dated June 12 of an unknown year (the retrieved copy reads "6-12-0" the final number appears to have been cut-off upon copying). (Exh. 11.)
- 37. Based on my review of a copy of Kenyon's filewrapper for '641, an Associate Power of Attorney appointing "John C. Altmiller (Reg. No. 25,951) and other registered practitioners of Kenyon included in [Customer Number 23858]" was stamped as received by the USPTO on December 11, 2000. The paper, which had Kenyon's New York office address at the bottom of the page, is dated November 6, 2000, and is signed by Edward W. Greason (Reg. No. 18,918). (Exh. 12.)
- 38. Based on my review of the '641 USPTO filewrapper, there is no evidence that the Associate Power of Attorney was considered by the USPTO.
- 39. Based on my review of a copy of Kenyon's filewrapper for '641, on January 12, 2001, Cathy Ryan at Kenyon learned from a "[c]onversation w/ group receptionist" that the USPTO file for '641 was reported lost on November 27, 2000, the last known destination for the file being "the file repository." Cathy Ryan also left a message with Examiner O. Hernandez at

10:45 AM. The Examiner called back at 2:45 "to verify that file is in Depository." A note written next to the record of the 10:45 message indicates "Power to Inspect." (Exh. 13.)

- 40. Based on my review of a copy of Kenyon's filewrapper for '641, a "POWER TO INSPECT AND MAKE COPIES" was signed by John C. Altmiller on February 7, 2001. A hand written note on the top of the document indicates "LOST 1/16/01." A sticky note signed by "Cathy" attached to the document indicates "Denise/Jay to go over 2/8/01." (Exh. 14.)
- 41. Based on my review of a copy of Kenyon's filewrapper for '641, a File Reconstruction request was stamped as received by "Licensing & Review" on March 23, 2001. The paper indicates:

We have been informed by the Office that the U.S. Patent and Trademark Office's file for [08/750,641] has been lost. Please find attached a copy of the relevant documents forming the file history for this application from the applicant's files for use in reconstructing the Office's file.

Please reconstruct the file. Thank you for your attention to this matter. Please contact [Mark H. Neblett (Reg. No. 42,028)], for any questions.

The paper was signed by Mark H. Neblett. The postcard is dated March 22, 2001. (Exh. 15.)

- 42. Based on my review of a copy of USPTO's filewrapper for '641, there is no evidence that the File Reconstruction request was considered by the USPTO.
- 43. Based on my review of Kenyon's docketing records, on July 15, 2002, an entry was made into the electronic docketing system at Kenyon indicating "PTO lost file-awaiting OA." (Exh. 2.)
- 44. According to the Human Resources department of Kenyon, on July 31, 2003, Mark H. Neblett resigned from employment at Kenyon.
- 45. Based on my review of a copy of Kenyon's filewrapper for '641, a paper entitled "STATUS INQUIRY" signed by John C. Altmiller was filed with the USPTO. The paper, which is dated September 8, 2003, "respectfully request[s] that the Examiner inform Applicants of the status of the application." The paper further indicates that "The U.S. Patent and Trademark Office notified Applicants that the subject file had been lost and, accordingly, Applicants filed a copy of the relevant documents forming the file history in a File Reconstruction on March 23,

- 2001." The paper also authorized the Commissioner to "charge any fee relevant to this filing to Kenyon & Kenyon Deposit Account No. 11-0600." The paper has Kenyon's 1500 K Street, N.W. address at the bottom of the page. (Exh. 16.)
- 46. A stamped postcard confirming the receipt of the Status Inquiry of September 8, 2003 is also present in Kenyon's filewrapper for '641. (Exh. 17.)
- 47. Based on my review of a copy of USPTO's filewrapper for '641, there is no evidence the Status Inquiry of September 8, 2003 was considered by the USPTO.
- 48. Based on my review of a copy of Kenyon's filewrapper for '641, according to a postcard, an Information Disclosure Statement and accompanying papers was stamped as received by the USPTO (OIPE) on November 18, 2003. (Exh. 18.)
- 49. Based on my review of a copy of the USPTO's filewrapper for '641, an Information Disclosure Statement (IDS) signed by William E. Curry (Reg. No. 43,572) and dated November 18, 2003 was filed by Kenyon. The IDS lists Kenyon's 1500 K Street, N.W. address. The IDS is stamped as being received by Group 3600 on November 24, 2003. According to the IDS, as well as the cover of the '641 USPTO filewrapper, the IDS was designated as Paper #20. The IDS indicates that the designation of "#20" was given on February 13, 2004 ("2/13/4"). (Exh. 19.)
- 50. Based on my review of a copy of Kenyon's filewrapper for '641, according to a postcard, an Information Disclosure Statement and accompanying papers was stamped as received by the USPTO (OIPE) on September 9, 2004. (Exh. 20.)
- 51. Based on my review of a copy of the USPTO's filewrapper for '641, an Information Disclosure Statement (IDS) signed by William E. Curry (Reg. No. 43,572) and dated September 9, 2004 was filed by Kenyon. The IDS lists Kenyon's 1500 K Street, N.W. address. The IDS is stamped as being received by Group 3600 on September 15, 2004. According to the IDS, as well as the cover of the '641 USPTO filewrapper, the IDS was designated as Paper #21. (Exh. 21.)

52. Based on my review of a copy of Kenyon's filewrapper for '641, a paper entitled "REQUEST FOR STATUS" signed by William E. Curry (Reg. No. 43,572) is present in Kenyon's paper files. The paper, which is dated September 15, 2004, indicated:

A request for continued prosecution with an Information Disclosure Statement was filed in this case on 15 March 2000. A preliminary amendment was faxed to the Examiner on 29 March 2000. A change of correspondence address was filed on 30 October 2000. Thereafter, a copy of the entire file was submitted for re-construction of the lost file on 23 March 2001. A status inquiry was filed on 8 September 2003. Information Disclosure Statements were filed on 18 November 2003 and 9 September 2004, respectively.

It is respectfully requested that the undersigned [William E. Curry] be informed when an Office Action or other communication from the Patent Office may be expected in this case.

The paper has Kenyon's 1500 K Street, N.W. address at the bottom of the page. A stamped postcard confirms that the USPTO received the paper on September 15, 2004. (Exh. 22.)

- 53. Based on my review of a copy of USPTO's filewrapper for '641, there is no evidence that the Request for Status of September 15, 2004 was considered by the USPTO.
- 54. According to Kenyon's Human Resources department, in January 2007, William E. Curry's employment ended at Kenyon.
- 55. According to Kenyon's human resources department, on July 31, 2007, John C. Altmiller resigned from employment at Kenyon.
- 56. Contemporaneous with John C. Altmiller's resignation, I was docketed as the attorney responsible for '641.
- 57. Based on my review of a copy of USPTO's filewrapper for '641, on June 27, 2008, Japanese patent counsel for Applicants' faxed a letter to Kenyon inquiring as to the status of '641. The communication was addressed to William E. Curry.
- 58. Based on my review of a copy of USPTO's filewrapper for '641, on July 10, 2008, Japanese patent counsel for Applicants' faxed a reminder communication to Kenyon.

- 59. I did not know or even suspect that the '641 patent was abandoned until on or after July 10, 2008. To my recollection, Daniel Shanley, informed me of the abandoned status of '641, after made an investigation into the status of '641.
- 60. On or about July 23, 2008, I took primary responsibility for filing a petition to revive with the USPTO.
- 61. Based on the above information, as well as my recollection regarding the prosecution of Toyota cases, it is my conclusion that the Notice of Allowance for '641 was not received by Kenyon, but rather, was returned to the USPTO as undeliverable.
- 62. Based on the above information, as well as my recollection regarding the prosecution of Toyota cases, it is my conclusion that the Notice of Abandonment for '641 was similarly not received by Kenyon.
- 63. I do not recall of a case during my employment at Kenyon where I requested the Office to reconstruct an application file after that application had been abandoned.
- 64. Prior to July 10, 2008, I do not recall the Office or anyone at Kenyon advising me that '641 had been abandoned.
- 65. I do not recall the client or anyone at Kenyon ever requesting me to allow '641 to become abandoned.
- 66. To my knowledge and belief, the entire delay in filing the required reply for '641 from the due date for the reply until the filing of a grantable petition pursuant to 37 CFR 1.137(b) was unintentional.

I declare that all of the above statements made based on my own knowledge are true and that all of the above statements that were made on information and belief are believed to be true, and further declare that these statements are made with the knowledge that knowing, willful and materially false statements, as are defined under Section 1001 of Title 18 of the United States Code, are punishable by fine, imprisonment, or both under Section 1001, and that such statements may affect the validity of any patent issuing from '641 if the application is revived.

Dated: 12/10/02

NO21 Shawn W. O'Dowd

# EXHIBIT 1 TO O'DOWD DECLARATION

08/7506	41	A DOWNED FOR LICTURE
		INITIALE 28
<u>.</u>	DATES AND LOS TION	1-2502/0948
Date Entered	PATENT APPLICATION	Date Received
or Counted	1) E TO STE O STE	or Malled
		State of the state of
	11	Mar i en
1,	Application papers.	FEB 1 4 1997
2.	903	
<u> </u>	<u>ID5</u>	3-18-97
.4.	IDS /	6-2-97
· 5.	PRO- ADMITA	_ <del>12-16-9%</del> _
6.	IDS TO THE	6,27.97
7.	Info Hisel state	Dep 4,1998
	Tom at ylatt	1.19.49
<u> </u>	De has	6661 6 NOC
10.	Cer Sit Time of B	11/25/99
115	That he 3 ms	7 32 5
7 12.	CARDON ().T)	8-29-00
	Tino I Day Mal	3-15-00
143	Vold Ect Jane Aton to Clf	3-15-00 200 /00
4),dla)16.	Nitter of Allwability	4-17-00
67	Motice of Allowance	4-17-00
	Notice of Abandonment	SEP 13 2000 .
19,	Change address.	10-30-00
20.	Ibs	#183
21.	IDS	9/10/4
22.	Jeghart to Coccess	Thiras
23.	16 3 /	
24,		. 1
25.		
27.		
28.		
29.		
30.		
32.		





# UNITED STATE. JEPARTMENT OF COMMERCE Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231 Ct./

1						4	,,,
APPLICATION NO.	FILING DATE	· FIRST NAMED INVENTOR			ATTORNEY DOCKET NO.		
08/750,641	12/16/96	SATO	•	К	77792	/23	
Г			٦		EXAS	IINSA	
FRANK PIETRANTONIO		PM82/0229.		HERNA	WDEZ,O		
KENYON & KEN	YON				runat .	PAPER NUM	BER
1025 CONNECT WASHINGTON D	ICUT AVENUE C 20036	i nw	· · ·	3661	ien és.		13
			•	DATEN	IAILÉD: 02/2	19/00	
			•				

Please find below and/or attached an Office communication concerning this application or proceeding.

		Application No. 08/750,641	Applicant	s) Sato et :	àl.	
	Advisory Action	Olga Hernandez Examinor		Group Art Unit 3881		
a s App App Dut	PERIOD FOR RESPONSE: [check only a) or b)]  [M] ciches	I rejection, or on the malli- e response explicition malli- e response explicition propose is the data of the response the feet Any expected of its feet of the feet and expected of its feet response or as set it. Notice of Appeal file See 37 CFR 1.191( ov 23, 1999 n for allowance: d an Appeal Brist. consideration and/or	ed response at a such assorbie es pursuant to cut in the bear of the cut in the bear of the cut in	nd the appropriate feat date for the purposes 37 CFR 1.17 will be *. FR 1.192(a). onsidered with the	The of (or within any	
	they raise the issue of new matter. (See Note to the Internation of they are not deemed to place the application in issues for appeal.      they present additional claims without cancelling NOTE:  Applicant's response has overcome the following to	befter form for appea	nber of fine	illy rejected claims	ipining we	
	Newly processed or amended claims	illowabie cluime.		would be allowa	ble if submitted in a	
Ø	The afficient, exhibit or request for reconsideration has for allowance because: applicant arguments are not directly to the claiming so	s been considered be object matter	does NO	place the applica	(IOR II) CO SILISI	
	The affidavit or exhibit will NOT be considered because Examiner in the final rejection.				newly raised by the	
	For purposes of Appeal, the status of the claims is as Claims allowed:  Claims objected to: 7-10					
	The arranged drawing correction filed on		□hes no	t baen approved b	y the Examiner	
	Note the attached information Disclosure Statement(s) Other	), PTO-1449, Paper I	WILLIAM	A A. CUCHLINSKI, DRY PATENT EXAN DLOGY, CENTER 36	JA. JINER	
0.2	ol and Thisteract Office Q3 (Rev. 8-95)	Ivisory Action		Parto	if Paper No13	

## EXHIBIT 2 TO O'DOWD DECLARATION

iPSSdatNat Kenyon & Kenyon

Patent Jacket Data Sheet

S. O'DOWD

DANIEL G. SHANLEY

L SCHEUGENPFLUG

Toyota/YKI

77792/23 P25830 USW Client Ref. Office DC

Tile SCHEDULE SETTING AND PROCESSING SYSTEM

Prosecution Particulars

01-Dec-1994 Japan

**Parties** Applicant/Regd.TOYOTA JIDOSHA KABUS KIZU, Mesafumi Inventor

MORITA, Makoto Inventor Inventor SATO, Koji YAMASHITA, Masanobu Inventor

Reel/Frame 8387 / 229

Priority Claimed 6-298290 01-Dec-1995 WO Parent PCT/JP95/02459 01-Dec-1995 US [PCT] Application 08/750641 16-Dec-1996 Filed on Publication Allowed Grant Fees Paid Grant Expiry Agent KENYON N.Y. Renewal Particulars

Renewal Status Pre-Grant

Prosecution Status Live - Pending

Annulty Next Due Nex! Cost

Cost Restof Life

Last Date Response Filed Due Dafe Comm Date Communication / Action 16-Dec-1996 16-Dec-1996 16-Dec-1996 30 MONTH NATIONAL PHASE APPLIC 16-Dec-1996 16-Dec-1998 10-Dec-1996 16-Dec-1996 16-Dec-1996 IDS Due 16-Doc-1996 16-Dec-1996 16-Dec-1996 16-Dec-1998 Prollminary Amendment 16-Dec-1996 16-Dec-1996 16-Dec-1996 16-Dec-1995 Records 14-Feb -1997 NOTICE OF ACCEPTANCE OF APPLIC 14 -Mar - 1997 Filing Receipt 18-Mar-1997 18-Mar-1997 18-Mar-1997 18-Mar -1997 IDS -- supp'i IDS 07-May-1997 07-May-1997 07-May-1997 07-May-1997 Recordal 02-Jun -1997 02-Jun -1997 02-Jun -1997 02-Jun -1997 IDS - suppl IDS 27-Jun -1997 27-Jun -1997 27-Jun -1997 27-Jun -1997 IDS -- supp IDS 04-Sep-1998 04-Sep-1998 04-Sep-1998 04-Sep-1998 IDS - supp IDS 17-Mar-1999 17-Mar-1999 17-Mar-1999 17-Mar-1999 IDS - supp' IDS 12-Oct -1999 12-Oct -1999 12-Oct -1999 12-Oct -1999 Ext of Time Requised 12-Oct -1999 09-Nov-1999 09-Nov-1999 09-Jun -1999 Respond to Official Action 23-Feb -2000 23-Jan -2000 23-Jan -2000 23-Nov-1999 R116 Response to Final Due 23-May-2000 15-Mar -2000 23-Feb -2000 23-Nov-1999 Notice of Appeal Due 15-Mar -2000 15-Mar-2000 15-Mar -2000 CPA Appl'n. Filed 15-Mar-2000 15-Mar -2000 15-Mar -2000 Suppl. Info. Disci. State. 08-Sep-2003 08-Sep-2003 08-Sep-2003 68-Sep -2003 Status Inquiry 18-Nov-2003 05-Dec-2003 05-Dec-2003 Fée IOS per 11/13/03 agent let 09-Sep-2004 09-Sep-2004 09-Sep-2004 09-Sep -2004 Supplemental IDS Filed 15-Sep-2004 15-Sop-2004 15-Sep-2004 15-Sep-2004 Letter to PTO CG-Aug-2008 06-Aug-2008 06-Aug-2008 03-Aug -2008 Petition Filed 06-Aug-2008 06-Aug-2008 06-Aug-2008 05-Aug -2008 Request Continued Examination 29-Feb-2000 29-Feb -2000 Advisory Action Received 15-Jul -2002 15-Jul -2002 PTO lost file-awaiting OA 12-Sep-2008 12-Sep -2008 Decision on Polition - Dismisse Page 1 cf.2 77772123 Alex passes and street Undated of pout YYY Upda Printed 15 Sep 2038

iPSSdetNet Kenyon & Kenyon

**Patent Jacket Data Sheet** 

10-Sep -2008 Response Re Petition due

10-Nov-2008 10-Mar -2009

Updated od MMIAYYY Upda Printed 15 Sep 2008

this tribun assa street

77792/23

Page 2 of 2

# **EXHIBIT 3 TO O'DOWD DECLARATION**

(1)

FORM PTO/SB/29 (12/97)		PARTITION OF CO	· ¢	Lep For	203661 A			
CONT	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL FORM  37 C.F.R. §1.53(d)							
DOCKET NO.	ANTICIPATED OF THIS APPLI	CLASSIFICATION CATION:	PRIOR APPLICAT 08/750,641	TON	-3.			
	CLASS	SUBCLASS	EXAMINER	ART UNIT	T			
77792/23		·	O. Hernandez	3661				
NAMED INVENTOR  This is a request for fill prosecution application	ling a continua							
This is a request for fir prosecution application December 16, 1996, e	ling a continua a (CPA)) of po entitled SCHEI	ition application un nding prior applicat DULE SETTING A						
This is a request for fi prosecution application December 16, 1996, e	ling a continua	ition application un nding prior applicat DULE SETTING A						
This is a request for fi prosecution application December 16, 1996, e	ling a continua a (CPA)) of po entitled SCHEI	ation application un nding prior applicat DULE SETTING A	ND PROCESSIN	VG SYSTE	<b>VI.</b>			
This is a request for fit prosecution application December 16, 1996, e	ling a continua a (CPA)) of po entitled SCHEI	ation application unading prior applicate DULE SETTING A delow:  MUMBER FILED	ND PROCESSIN	VG SYSTE	Y. FEE (5)			
This is a request for filprosecution application December 16, 1996, e	ling a continua a (CPA)) of po entitled SCHEI	Ation application unding prior application unding prior application.  At below:  MUMBER FILED	NIMBER BXTFA*	RATE(S)	FEE (3)			
This is a request for filprosecution application December 16, 1996, e  1. The filing  BASIC FRE TOTAL CLAIMS INDEPENDENT CLAIMS	ling a continus in (CPA)) of po entitled SCHEI fee is calculate	Ation application unding prior application unding prior application.  At below:  MUMBER FILED	NIMBER EXTEA	RATE(5)	FEE (\$) 656,00			
This is a request for filter prosecution application December 16, 1996, et al. The filing a second and the filter total claims and the second	ling a continuant (CPA)) of positived SCHEI	Ation application unding prior application unding prior application.  At below:  MUMBER FILED	NIMBER EXTEA	RATE (5)  18.00  78.00	FER (\$)  696,00			
This is a request for fit prosecution application December 16, 1996, et al. The filing  I. The filing  BASIC FRE  TOTAL CLAIMS  INDEPENDENT CLAIMS  MUETRY & DEPENDENT CLAIMS  MUETRY & DEPENDENT CLAIMS  Number exist a most be zero or in in a constant and in it. The filing is a small cubby and in it. The filing is a small cubby and in it. The filing is a small cubby and in it. The filing is a small cubby and in it. The filing is a small cubb as in it.	ling a continue of (CPA)) of po- entitled SCHEI  fee is calculate  AMM PRESENT  fee 37 C.F.E. \$5 1.9	Ation application unding prior application unding prior application.  DULE SETTING A  disclosure of the second of	NUMBER EXTEA*	RATE(S)  18.00  78.00  270.00  TOTAL  ALL ENTITY  TOTAL	FEE (5)  650.00  0.00  690.00			
This is a request for fit prosecution application December 16, 1996, et al. The filing  1. The filing  BASIC FHE TOTAL CLAIMS  MUETRY S DEPENDENT CLAIMS  MU	ling a continues at (CPA)) of positive SCHEI  fee is calculated at the continue of the calculated scheme of the calculate	Atton application unding prior application unding prior application.  At below:    NUMBER FILED   10   -2   1   1   1   1   1   1   1   1   1	NUMBER BXTZA'	RATE(S)  18.00  78.00  270.00  TOTAL  ALL ENTITY TOTAL	650,00 0,00 0,00 690,00 N/A			

## **EXHIBIT 4 TO O'DOWD DECLARATION**

TRANSMISSION REPORT

(WED) MAR 29 2000 12:30

DOCUMENT #	TIME STORED	TIME SENT	MOITARUG	PAGE (S)	MODE	RESULT
3760365-120	3. 29 12:27	3. 29 12:27	2' 09"	6	ECM	OK

DESTINATION	DST. TEL #
97033053597	97093053597



### KENYON & KENYON

1500 K Street, NW Washington, DC 20005 (202)220-4200 Fax: (202)220-4201

# FACSIMILE COVER SHEET

TO: EXAMINER OLGA HERNANDEZ

FAX NO: 703 305-3597

DATE: March 29, 2000

FROM: Sterlon Mason

Kenyon & Kenyon

SUBJECT: PRELIMINARY AMENDMENT TO CPA FOR U.S. APPLN. SERIAL NO.

08/750,641

CLIENT/MATTER NO.: 77792/23

NO. OF PAGES INCLUDING COVER:

Please call (202)220-4264 if any pages were not received or are illegible.

MESSAGE:

PATENT Docket No. 77792/23

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors

Koji SATO et al.

Serial No.

08/750,641

Filed

December 16, 1996

For

2000111301 20, 27

SCHEDULE SETTING AND PROCESSING

SYSTEM

Group Art Unit

2316

Examiner

O. Hernandez

ASSISTANT COMMISSIONER FOR PATENTS Washington, D.C. 20231

## PRELIMINARY AMENDMENT

#### SIR:

By Office Action dated November 23, 1999, pending claims 1-6 were finally rejected and claims 7-10 were objected to.

Applicants filed a Response to Final Office Action Pursuant to 37 C.F.R. §1.116 on February 23, 2000, submitting remarks to traverse the rejections. However, by Advisory Action dated February 29, 2000, the rejections were maintained.

Applicants therefore filed a Request for a Continued Prosecution Application (CPA) pursuant to 37 C.F.R. §1.53(d) on March 15, 2000.

Please enter the following amendments prior to examination of the above-identified application.

## IN THE CLAIMS:

Please amend claim 1 as follows. All of the claims have been presented for the Examiner's convenience.

 (Three Times Amended) A schedule-set-up management system comprising: inputting means for entering at least destination information and desired arrival time information;

frame-preparing means for preparing a basic frame of a schedule including types of intermediate and final locations, and a transfer order, <u>indicating a chronological sequence</u> of events in said basic frame based on said destination information and desired arrival time information; and

schedule-preparing means for accessing a data base to retrieve specific facilities fitting said basic frame, thereby preparing a schedule in which intermediate and final locations are specified.

- 2. (Unchanged) The schedule-set-up management system is accordance with Claim 1, wherein said frame-preparing means selects one basic frame of a schedule which fits said destination information and desired arrival time information from a plurality of basic frames pre-stored in a memory device.
- 3. (Unchanged) The schedule-set-up management system in accordance with Claim 1, wherein said inputting means and frame-preparing means are disposed in a terminal device, said schedule-preparing means disposed in a host device, said terminal device and host device are connected via a communication line, said terminal device transmits said basic frame- prepared by said frame preparing means to said host device, said host device transmits said schedule prepared by said schedule-preparing means to said terminal device.
- 4. (Unchanged) The schedule-set-up management system in accordance with Claim 3, wherein said terminal device has a display means for displaying: a touch switch used for entering said destination information and desired arrival time information; said prepared basic frame; and said transmitted schedule.
- (Unchanged) The schedule-sct-up management system in accordance with
   Claim 1, further comprising:

route-searching means for searching an optimum route for carrying out said schedule using map data.

6. (Unchanged) The schedule-set-up management system in accordance with claim 3, wherein said terminal device disconnects the communication line connecting with said host device after transmitting said basic frame, and reconnects the communication line connecting with said host device after completing the preparation of said schedule in order to receive said schedule.

- (Unchanged) The schedule-set-up management system in accordance with Claim 1, further comprising:
- a watch center for watching the implementation of said schedule, wherein said schedule-preparing means re-prepares a schedule based on information from said watching center.
- 8. (Unchanged) The schedule-set-up management system in accordance with Claim 7, wherein said watching center has information-obtaining means for obtaining position information of a schedule implementer, information of the intermediate locations in said schedule and traffic information between the intermediate locations, the obtained information being supplied to said schedule-preparing mean.
- 9. (Unchanged) The schedule-set-up management system in accordance with Claim 8, wherein said information-obtaining means of said watching center further obtains weather information, and supplies the obtained weather information to said schedulepreparing means.
- 10. (Unchanged) The schedule-set-up management system in accordance with Claim 7, wherein said schedule-preparing means evaluates the influence of the information supplied from said watching center of the implementation of said schedule, and again prepares a schedule based on evaluation results.

#### REMARKS

The final Office Action mailed November 23, 1999 and the Advisory Action mailed February 29, 2000 have been carefully reviewed and the foregoing amendments and

following remarks are made thereto. Claims 1-10 are presently pending in this application for consideration.

As a preliminary matter, Applicants thank Examiner Hernandez for her courtesies extended to Applicants' representative during the March 23, 2000 telephonic interview. The substance of the interview is embodied in the following remarks.

The final Office Action rejects claims 1-4 under 35 U.S.C. §103(a) as being unpatentable over U.S. Patent No. 5,237,499 to Garback in view of travel planning system (travelersnet.com) [hereinafter "Travelers"] and U.S. Patent No. 4,862,357 to Ahlstrom et al. [hereinafter "Ahlstrom"] and claim 5 under the same as being unpatentable over the combination of Garback and Travelers in further view of U.S. Patent No. 5,757,289 to Nimura et al. [hereinafter "Nimura"]. In addition, the Advisory Action indicates that Applicants' arguments were not directed to the claimed subject matter.

Applicants respectfully submit that the amended claims are patentably distinguishable over the cited references as required by §103. Applicants further submit that the cited references fail to disclose "a frame-preparing means for preparing a basic frame of a schedule including types of intermediate and final locations, and a transfer order indicated a chronological sequence of events in said basic frame, based on said destination information and desired arrival time information" as recited in independent claim 1. Thus, these claims are allowable over the cited references.

A "transfer order" refers to a chronological sequence of events in a basic frame. One example of such a sequence is described in the specification, on page 11, lines 8-15, in which the transfer order of events is as follows: departure  $\rightarrow$  walk  $\rightarrow$  lunch  $\rightarrow$  amusement park  $\rightarrow$  night view  $\rightarrow$  return back. Another example is also shown on page 12. The basic frame of the present invention consists of a type of location and the transfer order, and a more specific schedule is prepared based on this basic frame. The idea of the present invention in which the basic frame comprising the type and order is initially determined is not disclosed in the cited references.

#### Conclusion

Examination of this application in light of the foregoing amendment is respectfully requested. The Examiner is invited to contact the undersigned at (202) 220-4240 to discuss

any matter concerning this application.

The Office is hereby authorized to charge any additional fees or credit any overpayments under 37 C.F.R. §1.16 or 1.17 to Deposit Account No. 11-0600.

Respectfully submitted,

KENYON & KENYON

Dated: March 29, 2000

Sterlon Mason '

Reg. No. 41,179

KENYON & KENYON 1500 K Street, N.W. Washington, DC 20005 tel: 202/220-4200

tel: 202/220-4200 fax: 202/220-4201

# EXHIBIT 5 TO O'DOWD DECLARATION

	Application No.	A	pplicant(s)	
	£ -	.	ATO ET AL.	
Notice of Allowability	08/750,641 Examiner		rt Unit	1
MODICE OF WILLDARDING	CAMINITO.			
	Olga Hemandez	3	861	
- The MAILING DATE of this communication claims being allowable, PROSECUTION ON THE MER rewith (or previously mailed), a Notice of Allowance and	n appears on the cover ITS IS (OR REMAINS) C Issue Fee Due of other a	sheef with the com LOSEO in this applic ppropriate communi	espondence ad elion. If not incl cotion will be ma	dress ided  led in due course,
This communication is responsive to emendment in				i
This communication is response to enterent and				
The allowed claim(s) is/are 1-10.			*	
The drawings fied on are acceptable.	die under 95.11 S.C. S.11	9/a'i-idi.		
Acknowledgment is made of a cleim for foreign pole  a) At b) Some c) None of the	aggrees of the	arianty documents in	ave been	
a) All b) Some c) None of the	75K HLIED cobing of air	prisonly and		
1. 🔲 riscolved,	r.m			
2. Traceived in Application No. (Series Co	ge / Solial Militaria	Durani (DET Rula :	17.2(a)).	
<ol> <li>Insceived in Application No. (Series Co.</li> <li>If received in this national stage applicat</li> </ol>	jou day the likelienone	District in an inches	1-11	
P				
* Certified copies not received:  Acknowledgement is made of a claim for domestic	priority under 35 U.S.C. &	i i i gipuj-		
SHORTENED STATUTORY PERIOD FOR REFLY to C HREE MONTHS FROM THE "DATE MAILED" of this Of	omply with the regulterne fice Action. Fallure to tim nay be available under th	nts noted below is a sky comply will resul a provisions of 37 Cl	-He if rapidaly	ne reasonisi why
. Note the attached EXAMINER'S AMENDMENT or the cash or declaration is deficient. A SUBSTITU	NOTICE OF INFORMAL TE OATH OR DECLARA	ADDITION IN IN	THE PROPERTY OF THE PARTY OF TH	69 tepanifol mi
Applicant MUST submit NEW FORMAL DRAWING (a) Decause the originally filed drawings were do:	36 clared by applicant to be l	nformal.	48) attached	•
the including changes required by the replica of L	rafisperson's Patent Uni	weld seasons in so-a		
1) heroto or 2) to Papor No. 9.		white has be	on anaroued by	he examiner.
happened advantage of the property of the prop	Inswing correction filled	Villen Has us	an olekimina na	
(d) ☐ Including changes required by the attached E	xaminer's Amendment /	Security Bur		
identifying indicia such as the application numbe drawings. The drawings should be filed as a sep Draffshotoph.	er (see 37 CFR 1,84(c)) s earate paper with a trans	bould be written or mittel letter addres		
B. [] Note the attached Examiner's comment regarding	BEALUCEMENT FOR T	HE DEPOSIT OF BI	CLOGICAL MAT	ERIAL
8. Note the attached Examiner's common regarding	HEMOREMENT	The same was present the	mine cone (s	SRIAL NUMBER).
<ol> <li>Note the attached Examiner's common regarding Any reply to this letter should include, in the upper right is applicant has received a Notice of Allowance and issue in ALLOWANCE should also be included.</li> </ol>	iand comer, the APPLIC/ Fee Due, the ISSUE BAT	CH MUMBER and D	ATE of the NOT	OE OF
Attachment(s)			sec. s. a.b. dad authors	ion /970-1571
		☐ Notice of Informe	Hateur whiles	anar No.
		☐ Examinar annan ☐ Interview annan	ary (From 1951) administration	f:
		Examinor's State	mont of Reason	s for Allowance
5 Information Discosure Statements Requirement for D 7 Examiner's Comment Regarding Requirement for D	ieposit 5	Other .	111	1
of Bjological Material	*	- Calor	21/11/./	11
di seria di		11/	MIL	las
• • • • • • • • • • • • • • • • • • •		WL	IAM A. CUCHLI	iski, Jr.
	•		VISORY PATENT	
			HNOLOGY GENT	

# EXHIBIT 6 TO O'DOWD DECLARATION

			FEE TRAN			
and the second	The state of the s	fees to: Box15	SUESEE	anaudaa Salama		1
months form, the		Vaszi j	rei Commissi Total D.C.	Ottos for Entra		
المسلوب مرا				<u> </u>		
Actions was counted to a succession of the counter	represent and out (p) purpor, or furnish population peroxi	erice for a will be made or disorded otherwise in this is objected. The	district current Block 1, by (a) ADDRESS* for	C	ing province Enchands more, most fund by artificulty of Maldir	lional paper, such as en ym centificatie of malling: ID
FRANK PIETRA	Proces and talk unservain seasons.	PM82/04		Thereby certify that The I we United States Posted and in an envelope address the case indicated below	Service with sufficient to the Bortist.	ni poetage for first class e Fed address shove on
KENYON & KEN 1025 CONNECT	ICUT AVENUE.	97FV				(Depositoris ner
d Moteniaeam	C 5003E '	·				(ESpreiture)
**						, couch
		TOTAL CLAUS		EXMINER AND GROW	PARTURIT	DATEMAKED
APPLICATION NO.	FILING DATE	1,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	CDM AND		2661	.04/17/00
08/750,641	12/16/96		ERNANDE		. 0	
MANAGE SATO,		35 USC	154(6)	term ext. "	DETIT	N
olears .	ING AND PROC	FREING SYS	TEM	MATCH	FILL	
ATTYS DOCKETNO. 2 77792/23	CLASS-SUBCLASS 701-202		APPLIA TYPE UTILI 2. Fordyring	on the patent front page, lit	\$1210.00	07/17/00
2 77.792/73  Crange of correspondence address Use of PTO form(a) and Customer  Charge of correspondence address PTO/SE/122 attented.	701-202. Is or indication of "Fise Addi Number are recommended, Installer Change of Consequences	mear (pr CFR 1,803). tota not required. ondence Address form	QTILI  2. For parting (1) the name attending of the name of member a re and the name attending name attending name	TY NO  son the potent from page, it sof up to 3 registance peter up of 3 registance peter up to 3 registance peter up strings from matricely. (if up strings from passing as- updated attacney of again soft up to 2 registered puter cents. (from page is likely at light and in	\$1210.00	
2 77792/73  Crange of correspondence address Use of PTO familia and Customer  Crange of correspondence address PTO/SEAT23 situated.  Or The Address' indication (or "Fe	701-202. ss or indication of "Fee Addition of "Fee Addition of "Fee Addition of "Fee Addition of The Addition of Company	COC 121 med (37 CFR 1.803), but not required. onderes Address from pto(65/47) attached.	QTILI  2. For parting (1) the names extensive of a the name of member a re and the name submeyer a name will be	non the patent from page, its set up to 3 registance peter agents GR, attenditively, it is strong to the control of the party of the pa	\$1210.00	67/17/60
2 77.792/23  Crange of correspondence address Use of PTO familia and Customer  Change of correspondence address  PTO-SERVEZ) altered.  D'Fee Address' indication (or "Fe  ASSIGNEE NAME AND RESIDEN  PLEASE NOTE: threets in suspin includes of singlence days is collisioned for singlence days in collisioned for single served.	701-202 so or Indication of "Fee Additional Properties of the Additional Properties of Commence of Com	MEG (27 CFR 1,503). The not regard. The not regard. PTO(5247) standard. PTO(5247) standard. DON'THE PATENT (pix 15) rate data HT sprear	2. For parting (1) the numes attempts or a the name of merchar a read the name attempts or a name will be parting to the name of the name will be parting to the name of the n	Department from the control of the c	\$1210,00	C 7 / 1 7 / CO
2 77.792/23  Change of correspondence address Use of PTO famile) and Customer  I Change of correspondence address  PTOSEN(22) altered  Office Address' indication (or "Fe  ASSIGNEE MARIE AND RESIDEN  REASE NOTE: threes an easy three and the second of the	701-202.  so or Indication of "Fee Additional" International and recommended, invasi (or Charage of Cornets) and Additional Indication form of the Additional Indication form of the Indication of the Indication of the Indication of the Indication of Indication of Indication Indica	COC L21 med (SY CFR 1.203), but not regarded. ondence Address form PTO/05047) stranded. OO, THE PATENT (pin sectors of the high sectors of the high sectors of the high sectors of the high is NOT	2. For parting (1) the numes extensive of the numes of members are and no name statutory as a name will be a name of the name	m the potent force page. Its set up to 3 registrand pairs set up to 3 registrand pairs set up to 3 registrand pairs a straight of the properties. It is set up to 1 registrand pairs set up to 2 registrand pairs of patential lated, in printed.  At the following fiscal and of Patentia and Treation between the pairs Advances Order - 5 of the patential pairs and one patential pairs and one patential part of the pairs and the patential part of the patential part one patential patential patential patential one patential patential one patential patential one paten	\$1210.00	C.7/17/00
2 77792/73  Crange of correspondence address Use of PTO formigst and outcomer  Crange of correspondence and PTOSE/12/2 attented.  There Address' indention (or "Fe  ASSIGNEE NAME AND RESIDER  PLEASE NOTE: Unless an easign inclusion of "sisting residential for  If the PTO of it being satisfact and  If the passignment.  (a) RESIDENCE (CITYA STATE  Please of the accordable assistance of the state	701-202.  so or Indication of "Fee Additional Tee Additional Tee Recommended in Number are recommended in Number are recommended in Number are recommended in Number and Number	COC 2.21 must for CFR 1.503), but not resided. ondence Address form PTO/GENAT) situated. DON THE PATENT (pit saferae data file godes and has been previous soon of this logs is NOT-	2. For parting (1) the numes extensive of the numes of members are and no name statutory as a name will be a name of the name	TY NO  con the pount from page, by collupted Stephaned pelon sports CR, atternatives a stripe into pearing of a stripe into pearing and pearing college of the pearing and pearing and pearing and pearing and pearing and pearing and and pearing and	ST210.00  If a section of final a characteristics of Doglass  Software to the section of the sec	C.7/17/00
2 77.792/7.3  Crange of correspondence address Use of PTO familia and Customer  Change of correspondence address  Change of correspondence address  Change of correspondence address  Correspondence of correspondence address  ASSIGNEE NAME AND RESIDED  DEASE NOTE Those are useful to cally  RESIDENCE TOWN ASSIGNEE  (S) RESIDENCE (CITY & STATE  (S) RESIDENCE (CITY & STATE  Correspondence  Correspond	701 - 202 / so or Edication of "Fee Add Number are recommended, Inges for Changer of Corresp se Address" indication form VOE BATA TO BE PRINTEE  NOE BATA TO BE PRINTEE  OF BEATA TO BEATA	mer (37 CFR 1,203), but not registred. but not registred. oncloses Address from PTOREUT) attached. ON THE PATENT print patried data will appear mont had been previous tion of this from is NOT-	Q.T.L.1.  2. For pirtular, 1/1 fine names extensive of the names extensive of the names of merchar a reard me names successor or a reard me name with the name with the period of the patient, or the name with the period of the patient, and a substitute for me name with the name of the	TY NO  an the potent force page, its set up to 3 registand pairs set up to 3 registand pairs set up to 3 registand pairs a straige from (pashing dai set up to 1 registand pairs set up to 2 registered pairs set up to 2 registered pairs of the pairs of the pairs of the pairs of the pairs of patients and Trection   these Foe   Actuation Order - 6  Deposits ACCOUNT CRICLOSE AN EXTR   issue Foe   Advance Order - 6   Advance Ord	ST210.00  If a section of final a characteristics of Doglass  Software to the section of the sec	C. 7 / 1.7 / O.O.  Six payable to Committee.
2 77792/73  Crange of correspondence address Use of PTO form(s) and Customer  Change of correspondence address  Change of correspondence address  Change of correspondence address  Change of correspondence address  ASSIGNEE NAME AND RESIDEN  PRESIDENCE NAME AND RESIDEN  PRESIDENCE TARGET AND RESIDEN  IN PRESIDENCE OF ASSIGNEE  CORP. PRESIDENCE COTY & STATE  CHANGE OF ASSIGNEE  CORP. CONMISSIONER OF PATENTING  SECONMISSIONER OF PATENTING  CORP. COMMISSIONER OF PATENTING  CONMISSIONER OF PATENTING  CONTINGENTING  CONTINGENTINGENTING  CONTINGENTING  CONTINGENTINGENTING  CONTINGENTING  CONTINGENTINGENTING  CONTINGENTING  CONTINGENTING  CONTINGENTING  CONTINGENTING  CONTINGENTING  CONTINGENTING  CONTINGENTING  CONTINGENTINGENTING  CONTINGENTING  CONTINGENTING  CONTINGENTING  CONTINGENTINGENTING  CONTINGENTING  CONTINGENTING  CONTINGENTING  CONTINGENTING  CONTINGENTING  CONTINGENTING  CONTINGENTINGENTING  CONTIN	701 - 202 / so or Edication of "Fee Add Number are recommended, Inges for Changer of Corresp se Address" indication form VOE BATA TO BE PRINTEE  NOE BATA TO BE PRINTEE  OF BEATA TO BEATA	ment (str CFR 1,203), but not regarded.  Dut not regarded.  On THE PATENT (strict approach that page of the first point month for the figure of the first point month field been previously and the first point of the figure of the figure of the figure of the figure month field been previously and the figure is NOT to the figure is NOT to go of the figure of the figure is NOT to go of the figure is	UTILI  2. For periodic 113 for names adversifys of 1 the names adversifys of 1 the names of merchor a re and the names successory or a success	TY NO  an the potent force page, its set up to 3 registand pairs set up to 3 registand pairs set up to 3 registand pairs a straige from (pashing dai set up to 1 registand pairs set up to 2 registered pairs set up to 2 registered pairs of the pairs of the pairs of the pairs of the pairs of patients and Trection   these Foe   Actuation Order - 6  Deposits ACCOUNT CRICLOSE AN EXTR   issue Foe   Advance Order - 6   Advance Ord	ST210.00  If a section of final a characteristics of Doglass  Software to the section of the sec	C. 7 / 1.7 / O.O.  Six payable to Committee  se wheat the charged to:
2 77792/73  Crange of correspondence address Use of PTO form(s) and Customer  Change of correspondence address  Change of correspondence address  Change of correspondence address  Change of correspondence address  ASSIGNEE NAME AND RESIDEN  PRESIDENCE NAME AND RESIDEN  PRESIDENCE TARGET AND RESIDEN  IN PRESIDENCE OF ASSIGNEE  CORP. PRESIDENCE COTY & STATE  CHANGE OF ASSIGNEE  CORP. CONMISSIONER OF PATENTING  SECONMISSIONER OF PATENTING  CORP. COMMISSIONER OF PATENTING  CONMISSIONER OF PATENTING  CONTINGENTING  CONTINGENTINGENTING  CONTINGENTING  CONTINGENTINGENTING  CONTINGENTING  CONTINGENTINGENTING  CONTINGENTING  CONTINGENTING  CONTINGENTING  CONTINGENTING  CONTINGENTING  CONTINGENTING  CONTINGENTING  CONTINGENTINGENTING  CONTINGENTING  CONTINGENTING  CONTINGENTING  CONTINGENTINGENTING  CONTINGENTING  CONTINGENTING  CONTINGENTING  CONTINGENTING  CONTINGENTING  CONTINGENTING  CONTINGENTINGENTING  CONTIN	701 - 202 / so or Edication of "Fee Add Number are recommended, Inges for Changer of Corresp se Address" indication form VOE BATA TO BE PRINTEE  NOE BATA TO BE PRINTEE  OF BEATA TO BEATA	mer (37 CFR 1,203), but not registred. but not registred. oncloses Address from PTOREUT) attached. ON THE PATENT print patried data will appear mont had been previous tion of this from is NOT-	UTILI  2. For periodic 113 for names adversifys of 1 the names adversifys of 1 the names of merchor a re and the names successory or a success	TY NO  an the potent force page, its set up to 3 registand pairs set up to 3 registand pairs set up to 3 registand pairs a straige from (pashing dai set up to 1 registand pairs set up to 2 registered pairs set up to 2 registered pairs of the pairs of the pairs of the pairs of the pairs of patients and Trection   these Foe   Actuation Order - 6  Deposits ACCOUNT CRICLOSE AN EXTR   issue Foe   Advance Order - 6   Advance Ord	S.1210.00  If the control of the con	C.7/17/00
2 77.792/2:3  Crange of correspondence address Use of PTO formigs and outcomer  Change of correspondence address  The Address indication (or "Fe  ASSIGNEE NAME AND RESIDEN  ASSIGNEE NAME AND RESIDEN  EASIENDE NAME AND RESIDEN  TO STATE ADDRESS AND RESIDEN  TO STATE ADDRESS AND RESIDEN  THE PTO of the Organization of the PTO of the Organization of the PTO of the Organization of the PTO of the Organization  (S) RESIDENCE (CITYA STATE  Please chock the approach is assigned to the CITYA STATE  Please of the CITYA STATE  Please of the CITYA STATE  Please of the Organization  Organization  COMMISSIONER OF PATENTIAL PROMISSIONER OF PATENTIAL	701 - 202 / so or Indication of "Fire Add Number are recommended, Incas for Drampor of Corresponde Address" indication form:  **CCE DATA TO BE PRINTED TO BE IN INCASED TO BE IN	mer (profin 1903), but not repland, but not repland, but not repland, oncloses Adress from PTO/05/47) attorbed.  DON'THE PATENT print abbres data Mr appear mont had been previously about of the figure in NOT.  Low (will not be partial of your grown of the figure in NOT.)  Low (will not be partial of your grown of the figure in NOT.)  Low (will not be partial of your grown of the figure in NOT.)	UTILI  2. For participation of the same extensive for the same extensive f	TY NO  an the potent force page, its set up to 3 registand pairs set up to 3 registand pairs set up to 3 registand pairs a straige from (pashing dai set up to 1 registand pairs set up to 2 registered pairs set up to 2 registered pairs of the pairs of the pairs of the pairs of the pairs of patients and Trection   these Foe   Actuation Order - 6  Deposits ACCOUNT CRICLOSE AN EXTR   issue Foe   Advance Order - 6   Advance Ord	S.1210.00	C.7/17/00
2 77.792/2:3  Crange of correspondence address Use of PTO forming and Customer  Change of correspondence address Use of PTO forming and Customer  Change of correspondence address  Change of correspondence address  ASSIGNEE MARIE AND RESIDEN  PLEASE NOTE: Those are assort  Indicated the PTO of its origination of Liesging and as origination of Liesging address are address  (A) NAME OF ASSIGNEE  (B) RESIDENCE (CITY & STATE  PLASES OF CONTROLLED (CITY & STATE  CITY & CITY & STATE  CONTROLLED (CITY & STATE  CONTROLLED (CITY & STATE  CONTROLLED (CITY & STATE  CITY & CITY & CITY & STATE  CITY & CITY & CITY & STATE  CITY & CITY & CITY & CITY & CITY & CITY &	701 - 202 / so or Edication of "Fee Add Number are recommended, Inges for Charger of Corresp se Aktiress" indication form  NOSE BATA TO BE PRINTEE  NOSE BATA TO BE PRINTEE  ONE BATA TO BE PRINTEE  O	mer (37 CFR 1,203), but not registred.  Dut not registred.  ON THE PATENT prime approach to the period on the period of the peri	UTILI  D. For pixtup  1) its name of the mane of the m	TY NO  an the potent force page, its set up to 3 registand pairs set up to 3 registand pairs set up to 3 registand pairs a straige from (pashing dai set up to 1 registand pairs set up to 2 registered pairs set up to 2 registered pairs of the pairs of the pairs of the pairs of the pairs of patients and Trection   these Foe   Actuation Order - 6  Deposits ACCOUNT CRICLOSE AN EXTR   issue Foe   Advance Order - 6   Advance Ord	S.1210.00	C:7/17/00
2 77.792/2:3  Crange of correspondence address Use of PTO formical and Customer  Change of correspondence and  PTOSE/1/2; attached.  D'Thee Address' Indention (or "Fe  ASSIGNEE NAME AND RESIDER  PLEASE NOTE: Unless an easign inclusion of tailing receipts is only  the PTO of it bodgs admirated int (ing as passignation).  (A) NAME OF ASSIGNEE  (B) RESIDENCE (CITYA STATE  Please Chock for a scorpodule assignation.	TO 1 - 202 .  TO	ment (sy CFR 1.203), but not inequality of the control of the cont	2. For priving 11/19 to numer of the nume	TY NO  an the potent force page, its set up to 3 registand pairs set up to 3 registand pairs set up to 3 registand pairs a straige from (pashing dai set up to 1 registand pairs set up to 2 registered pairs set up to 2 registered pairs of the pairs of the pairs of the pairs of the pairs of patients and Trection   these Foe   Actuation Order - 6  Deposits ACCOUNT CRICLOSE AN EXTR   issue Foe   Advance Order - 6   Advance Ord	S.1210.00	C.7/17/00

## **EXHIBIT 7 TO O'DOWD DECLARATION**



UNITED STATES: PARTMENT OF COMMERCE Patent and Trade Apr Office Address: DMMISSONER OF PATENTS AND TRADEMARKS Wastington, D.C., 20281

APPLICATION NUMBER FILING DUTE PRIST NAMED APPLICANT ATTORNEY DOCKET NO. 08/750.641 12/16/96 7542/0913 FRANK PIETRANTONIO HERNANDEZ, O KENYON & KENYON 1025 CONNECTICUT AVENUE NW AHT DNG PAPER STANSER 3661 WASHINGTON DC 20036 18 09/13/00 DATE MAILED: NOTICE OF ABANDONMENT This application is abandoned in view of: Applicant's fallure to timely ille a preper response to the Office letter maked on A response (with a Certificate of Maxing or Transmission of , which is after the expiration of the period for response (including a total extension of time of \_\_\_\_month(s)) which expired on \_\_\_ ☐ A proposed response was received on... but it does not constitute a proper response to the final (A proper response to a final rejection consists only of: a timely filed amendment which places the application in condition for allowance; a Notice of Apposi; or the filling of a continuing application under 37 CFR 1.52 (FWC). Applicant's fellure to timely pay the required leads fee within the statutory period of three months from the meding date of the Notice of Allowance. The Issue fee (with a Certificate of Malling or Transmission of\_ \_) was received on The submitted issue fee of \$\_\_\_\_\_\_ is insufficient. The leave fee required by 97 CFR 1.16 is \$ The issue fee has not been received. Applicant's felture to timely file new formal drawings as required in the Notice of Allowability. Proposed new formal drawings (with a Continuate of Mailing or Transmission of \_\_ The proposed new formal drawings filed\_ are not acceptable. ☐ No proposed new formal drawings have been received. The express abandonment under 37 CFR 1.62(g) in favor of the FWC application filed on... The letter of express abandonment which is signed by the attorney or agent of record, the assignee of the entire interest, or all of the applicants. The latter of express abandonment which is eighed by an attempty or agent (acting in a representative capacity under 37 OFR 1.34(a) upon the filling of a continuing application. The decision by the Board of Palent Appeals and Interferences rendered on. and because the period for sacking court review of the decision has expired and there are no allowed claims. ABANDONMENT The mason(e) below: CONTACT PERSON IS: TOM HAWKINS 305-8380 FORM PTO-1452 (NEV. 10-00)

# **EXHIBIT 8 TO O'DOWD DECLARATION**

				(
Pleasa type a plus sign (+)		Patent and Tra	dammeric Children 11	PTO/SB/122 (11-96) is through 6/30/99, OMB 0651-0035 S. DEPARTMENT OF COMMERCE inches a valid DMB control manager
	Act of 1995, no persons are required to r	Application Number	08,	750 641
	INGE OF	Filing Date	1 7	110/0/0
CORRESPONDENCE ADDRESS  Application  Address to: Assistant Commissioner for Patents Washington, D.C. 20231		First Named Inventor	1 5 3 5	
		Group Art Unit	23	1/4
		Examiner Name	1.50	10
		Attorney Docket Numb		792/23
wasningust, b.c. 20		Adminst Country	V	ounta / Yoshida
Please change the Coo.  Customer	orrespondence Address for Number 23838 Type Customer Num		application	23838 PATEN TRADEMARK OFFICE
Firm or Individual Name		01a		
Address	6	10		
Address	F 007	0 3 200 5		
City	<u> </u>	VState		ZIP
<b>S</b> .		ACT .		
Country	1	The Contract of the Contract o		
Telephone		Fax	<u> </u>	
This form cannot change the data Number Data Ch	ot be used to change the associated with an existing (PTO/SB/124).  Applicant.  Assignee of record of the Certificate under 37 CFR	e data associated ng Customer Num	with a Cu ber use *R	stomer Number. To equest for Customer
This form cannot change the data Number Data Ch	associated with an existing ange" (PTO/SB/124).  Applicant.	e data associated ng Customer Num entire interest. 3.73(b) is enclose	with a Cu ber use *R	stomer Number. To equest for Customer
This form cannot change the data Number Data Ch	associated with an existing anger (PTO/SB/124).  Applicant.  Assignee of record of the Certificate under 37 CFR:	e data associated ng Customer Num entire interest. 3.73(b) is enclose	with a Cu ber use *R	stomer Number. To equest for Customer
Telephone  This form cannot change the data Number Data Change the data Number Data Change the	associated with an existing anger (PTO/SB/124).  Applicant.  Assignee of record of the Certificate under 37 CFR:	e data associated ng Customer Num entire interest. 3.73(b) is enclose	with a Cuber use *R	stomer Number. To equest for Customer

# **EXHIBIT 9 TO O'DOWD DECLARATION**

	Co.		Application Number	perced for use through ASSIVE, OMEIOSSIVE was Office U.S. DEPARTMENT OF CONNET about unless in deplays a valid CMB-control num	ICE MAY
GOEMARK		ANGE OF	Filing Date	12/1/0/-	
		IDENCE ADDRESS	First Named Inventor	5870 6 - 3	2
	Address to:	producti	Group Art Unit .	23/6 3	ζ
	Assistant Commissio Washington, D.C. 20	norforPatents 23f	Examinar Name	- B - B - B - B	- 12
. '	Trasmiguit area co		Allumoy Docket Number	1 + 4 + 9 4 / 28 - 9	_
	I				-
	Picase change the C to:	orrespondence Address for I	he above-identified ap	plication IIIIIII	1
	▼ Customer	Number 23838			ı
		Type Customer Num	ber here	<del>/***</del> ***	1
	OR			PATRICT, TRADESCASK COPPER	
	Firm or Individual Name	ч .			
	Address				<u>-</u>
	Address				
	City		State	· Zip	
-	Country	· · · · · · · · · · · · · · · · · · ·	State	GF	
1	Telephone		Fax		_
ų.			ــانــنا		-
	change the date			th a Customer Number. To ruse "Request for Customer	
	•		,	RECEIVED	
	I am the :			CEIVED	1
		pplicant.		PEUD	
				111'H 4 200-	
	LI A	ssignee of record of the electricate under 37 CFR 3.	ntire interest. 73/h) is enclosed.	ULI 4 2000 TECHNOLOGY COSTER 2800	
'			rotal to animoral	TEXHILIDA	
	X At	tomey or agent of record	. •		
:			-		
	Typed or Printed Name	The C	: Altmill	o`	
		1009 m	76/11 +	<u> </u>	
	Skrature				

# **EXHIBIT 10 TO O'DOWD DECLARATION**

PATENT Docket No. 77792/23

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS

Koji SATO, et al.

SERIAL NO.

08/750,641

FILED

December 16, 1996

FOR

SCHEDULE SETTING AND PROCESSING SYSTEM

GROUP ART UNIT :

2316

**EXAMINER** 

O. Hernandez

Assistant Commissioner for Patents Washington D.C. 20231

## CHANGE OF CORRESPONDENCE ADDRESS

SIR:

Notice is hereby given that the correspondence address in the above-identified application has changed. Please address all future communications regarding this application to:

John C. Altmiller KENYON & KENYON 1500 K Street, N.W. Washington, D.C. 20006

This address change is effective immediately. Thank you.

Respectfully submitted,

KENYON & KENYON

Dated: October 30, 2000

John C. Altmiller (Reg. No. 25,951)

Robert L. Hails, Jr. (Reg. No. 39,702)

KENYON & KENYON 1500 K Street, N.W., Suite 700 Washington, DC 20005 (202) 220-4200 (202) 220-4201 (fax)

DC01 322791 v 1

## **EXHIBIT 11 TO O'DOWD DECLARATION**

ERSAL KENYON & KENYON DC

(NON) 10. 30' 00 16:1 "T. 16:11/NO. 4861508829 F 1

### KENYON & KENYON

1500 K STREET, NW WASHINGTON, DC 20005 (2021220-4200 FAX: (2021230-4201 3661 #19

# FACSIMILE COVER SHEET

TO:	Examiner Olga Hernandez	FAX RECEIVED
fax no:	703 305-3597	OCT 5 0 2000
DATE:	October 30, 2000	<b>GBOND</b> 3600
FROM:	Robert L. Hails, Jr. (202 220-423)	
SUBJECT:	Patent Application S.N. 08/750,64	41
CLIENT/ MATTER NO.:	77792/23	Ufficial
NO. OF PAGES	2	•

Please call (202)220-4297 if any pages were not received or are illegible.

#### MESSAGE:

Please enter the enclosed communication in application S.N. 08/750,641.

Regards,

Bob Hails .

The information contained in this factimile is attorney privileged and confidential information intended only for the use of the recipient named above. If you are not the intended recipient, or the person aspensible to deliver it to the intended recipient, you are notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have neceived this factimile in error, plaase immediately notify us by telephone, and return the original and all coping to us at the above address.

PATENT Docker No. 77792/23

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT'S

Koji SATO, et al. '

SERIAL NO.

08/750,641

December 16, 1996

FILED. FOR

SCHEDULE SETTING AND PROCESSING SYSTEM

GROUP ART UNIT:

2316

EXAMINER

O. Hernandez

FAX RECEIVED

OCT 3 0 2000

Assistant Commissioner for Patents

Washington D.C. 20231

GROUP 3600

#### CHANGE OF CORRESPONDENCE ADDRESS

SIR:

Notice is hereby given that the correspondence address in the above-identified application has changed. Picase address all future communications regarding this application to:

> John C. Altmiller KENYON & KENYON 1500 K Street, N.W. Washington, D.C. 20006

This address change is effective immediately. Thank you.

Respectfully submitted,

KENYON & KENYON

John C. Alimiller (Reg. No. 25,951) Robert L. Hails, Jr. (Reg. No. 39,702)

Dated: October 30, 2000

KENYON & KENYON 1500 K Street, N.W., Suite 700 Washington, DC 20005 (202) 220-4200

(202) 220-4201 (fax)

DC01 322791 v 1

## **EXHIBIT 12 TO O'DOWD DECLARATION**

O I P 11 2000 3

PATENT Docket No.: 77792/23

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**APPLICANT** 

SERIAL NO.

08/750641

FILING DATE

December 16, 1996

FOR

SCHEDULE SETTING AND PROCESSING SYSTEM

Commissioner of Patents and Trademarks Washington D.C. 20231

ASSOCIATE POWER OF ATTORNEY

Sir.

Please recognize John C. Altmiller, (Reg. No. 25,951) and other registered practitioners of Kenyon & Kenyon included in the Customer Number provided below, with full power to prosecute said application, to make alterations and amendments therein, and to transact all business in the Patent and Trademark Office connected therewith.

CUSTOMER NUMBER: 23838

Respectfully submitted,

Date november 6, 2000

Edward W. Greason Reg. No. 18,918

KENYON & KENYON One Broadway New York, NY 10004 (212) 425-7200 (telephone)

DC01 333824 V 1

## **EXHIBIT 13 TO O'DOWD DECLARATION**

#### CATHY RYAN KENYON & KENYON

1500 K STARET, NEW, SOLIT 505
VASCHINGTON, D.C. 20032-126-7
PRIDED (100) 2004-200

V | Z-/600

E-Mail volumentalist factori especial enect self especial

Conversation W/Group receptions

File has been regarted lost
in USPTO (11/27th). The

Past Known destination
for this Kile was the

File repository. There

Is no record of

any one ordering this

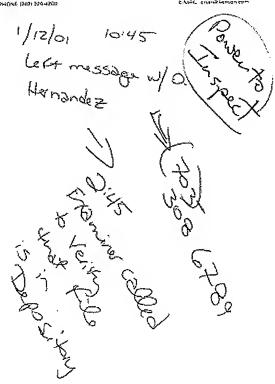
File. I recommend contacting
the Examiner to see if

they need our file to

rebuild the rost one.

### CATHY RYAN KENYON & KENYON

1500 K STRUET, N.W., DANE 780 WISHENGTON O.G. 72076-1267 84094 PART MONROR THE CT CIEL WAS ERVELTED TO THE CONTRACT OF TH



## **EXHIBIT 14 TO O'DOWD DECLARATION**

LOST 1/16/01

PATENT Docket No. 77792/23

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors

Koji SATO et al.

Serial No.

08/750,641

Filed

December 16, 1996

For

SCHEDULE SETTING AND PROCESSING SYSTEM

Examiner

O. Hernandez

Assistant Commissioner of Patents

Washington D.C. 20231

#### POWER TO INSPECT AND MAKE COPIES

SIR:

Denise English and Jay Johnson are hereby authorized to inspect and copy the file for the above-captioned matter.

Respectfully submitted,

KENYON & KENYON

Date: 2 | 0 , 2001

John C. Alimiller, Registration No. 25,951

KHNYON & KENYON

1500 K Street, N.W. Suite 700 Washington, D.C. 20005 Telephone: (202) 220-4200 Facsimile: (202) 220-4201

LOST 1/16/01

PATENT Docket No. 77792/23

2/7/01

EMARK OFFICE

Invent

Serial 1

Mak-

Filed

For

Denise/Ja

**ISSING SYSTEM** 

<u>tes</u>

Examine

Assistan Washing to go a

•

SIR:

De

above-capt

Car

ct and copy the file for the

Date: 2

John C. Altmiller, Registration No. 25,951

KENYON & KENYON 1500 K Street, N.W. Suite 700 Washington, D.C. 20005 Telephone: (202) 220-4200

Facsimile: (202) 220-4201

# **EXHIBIT 15 TO O'DOWD DECLARATION**

PATENT Docket No.: 77792/23

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT

Koji SATO, et al.

SERIAL NO.

08/750,641

FILING DATE

December 16, 1996

FOR

SCHEDULE SETTING AND PROCESSING SYSTEM

GROUP ART UNIT:

2316

**EXAMINER** 

O. HERNANDEZ

Assistant Commissioner for Patents

Washington, D.C. 20231

FILE RECONSTRUCTION

SIR:

We have been informed by the Office that the U.S. Patent and Trademark Office's file for the above-referenced case has been lost. Please find attached a copy of the relevant documents forming the file history for this application from the applicant's files for use in reconstructing the Office's file.

Please reconstruct the file. Thank you for your attention to this matter. Please contact the undersigned at 202-220-4232, for any questions.

Respectfully submitted,

D. Weber

Mark H. Neblett Reg. No. 42,028

DC01 361126 v 1

The stamp of the Patent Office hereon Ly be taken as acknowledging the receipt, on the date stamped, of

APPLICANTS:

Kojji SATO, et al.

SERIAL NO.:

08/750,641

FILED:

December, 16, 1996

FOR:

SCHEDULE SETTING AND PROCESSING

SYSTEM

GROUP ART UNIT: 2316

PAPERS FILED:

1. File Reconstruction

MHN/cct

77792/23

3/22/0

# **EXHIBIT 16 TO O'DOWD DECLARATION**

PATENT DOCKET NO.: 77792/23

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S):

Keji SATO et al.

SERIAL NO.:

08/750,641

FILED:

December 16, 1996

FOR:

SCHEDULE SETTING AND PROCESSING SYSTEM.

ART UNIT:

2316

EXAMINER: O. Hernandez

COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, VA 22313-1450

#### STATUS INQUIRY

SIR:

Applicants for the above-cited U.S. application respectfully request that the Examiner inform Applicants of the status of the application. Applicants filed the application on December 16, 1996 and received an official Filing Receipt on March 14, 1997.

The U.S. Patent and Trademark Office notified Applicants that the subject file had been lost and, accordingly, Applicants filed a copy of the relevant documents forming the file history in a File Reconstruction on March 23, 2001.

The Commissioner is authorized to charge any fee relevant to this filling to Kenyon & Kenyon Deposit Account No. 11-0600. A duplicate of this sheet is attached.

Respectfully submitted,

Date: September 8, 2003

KENYON & KENYON 1500 K Street, N.W., Suite 700 Washington, DC 20005

Tel: (202) 429-1776 Fax: (202) 429-0796

# **EXHIBIT 17 TO O'DOWD DECLARATION**

The stamp of the Patent Office hereon may be taken as solar owledging the receipt, on the date stamped, of:

INVENTOR(S): SERIAL NO.:

Koji SATO et al. 08/750,641 December 16, 1996 SCHEDULE SETTING AND PROCESSING SYSTEM 2316

FILED:

TITLE: GROUP ART: EXAMINER:

Q. Hemandez

PAPERS FILED:

I. Status Inquiry

**JCA/Imq** 

September 8, 2003

40

# **EXHIBIT 18 TO O'DOWD DECLARATION**

The stamp of the Patent Office hereon may be taken as acknowledging the receipt, on the date stamped, of:

INVENTOR(S):

Koji SATO et al.

SERIAL NO .:

08/750,641

FILED:

December 16, 1996

TITLE:

SCHEDULE SETTING AND PROCESSING SYSTEM

GROUP ART:

2316

EXAMINER:

O. Hernandez

### PAPERS FILED:

. Information Disclosure Statement (2 pp.)

. 1449A/PTO – Info. Disclosure Statement by Applicant Foreign Patent Document - CN 1090666A

WEC/tl

77792/23

November 18, 2003

# EXHIBIT 19 TO O'DOWD DECLARATION

164

# 20/10 PATENT /3/4 Attorney Docket No. 77792/23

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

APPLICANT(s) : Koji SATO, et al.

SERIAL NO. : 08/750,641

FILED

December 1, 1995

FOR

Schedule Setting and Processing System

EXAMINER

O. Hernandez

ART UNIT

2316

INFORMATION DISCLOSURE STATEMENT

RECEIVED NOV 2 4 2000

GROUP 3600

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR § 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom. The filing of this information Disclosure Statement and the enclosed PTO Form No. 1449, shall not be construed as an admission that the information cited is prior art, or is considered to be material to patentability as defined in 37 C.F.R. § 1.56(b). It is believed that no fees are due, but

# **EXHIBIT 20 TO O'DOWD DECLARATION**

The stamp of the Patent Office hereon may be taken as act the receipt, on the date stamped, of

INVENTORS: Koji SATO, et al. SERIAL NO.: 08/750,641

FILED

: 1December 1995

Enclosed: INFORMATION DISCLOSURE STATEMENT, FORM PTO-1449, SEVEN REFS., EP SEARCH REPORT

WEC/is

77792/23

09/09/04

## **EXHIBIT 21 TO O'DOWD DECLARATION**

OTPE SE DIN SE

### PATENT

ADI

Docket No. 77792/23

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**APPLICANTS** 

Koji SATO, et al.

SERIAL NO.

08/750,641

FILED

1 December 1995

FOR

SCHEDULE SETTING AND PROCESSING SYSTEM

GROUP ART UNIT:

2316

DECFIVED

O. Hernandez

CEP 1 5 2004

COMMISSIONER FOR PATENTS

P. O. Box 1450

EXAMINER

Alexandria, Virginia 22313-1450

GROUP 3600

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97 & § 1.98

· SIR:

In conformance with Applicants' duty of disclosure under 37 C.F.R. § 1.56 and § 1.97(c)(1), the references listed on the attached form PTO-1449 are hereby brought to the Examiner's attention.

In compliance with 37 C.F.R. § 1.98, copies of the references are submitted herewith.

## Statement of Relevancy

The references were cited in the European Search Report of a related European Patent Application. A copy of the Search Report is enclosed.

# - Statement Pursuant to 37 C.F.R. 1.97(e)(1)

The undersigned attorney hereby states that each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

## **EXHIBIT 22 TO O'DOWD DECLARATION**

PATENT Docket No. 77792/23

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors

Koji SATO, et al.

Serial No.

08/750,641

Filed

16 December 1996

For

SCHEDULE SETTING AND PROCESSING SYSTEM

Group Art

2316

Examiner

O. Hernandez

COMMISSIONER FOR PATENTS

P. O. Box 1450

Alexandria, Virginia 22313-1450

### **REQUEST FOR STATUS**

SIR:

A request for continued prosecution with an Information Disclosure Statement was filed in this case on 15 March 2000. A preliminary amendment was faxed to the Examiner on 29 March 2000. A change of correspondence address was filed on 30 October 2000. Thereafter, a copy of the entire file was submitted for re-construction of the lost file on 23 March 2001. A status inquiry was filed on 8 September 2003. Information Disclosure Statements were filed on 18 November 2003 and 9 September 2004, respectively.

It is respectfully requested that the undersigned be informed when an Office Action or other communication from the Patent Office may be expected in this case.

Respectfully submitted,

KENYON & KENYON

Dated: 15 September 2004

William E. Curry (Reg. No. 43,572)

1500 K Street, N.W., Suite 700

Washington, DC 20005 Tel: (202) 220-4200

Fax: (202) 220-4201

505235

The stamp of the ratent Office hereon may be taken as acknowledging the receipt, on the date stamped, of

Inventor(s):

Koji SATO, et al.

Serial No..:

08/750,641

Filed:

16 December 1996

Enclosed:

REQUEST FOR STATUS

WEC/is 77792/23

09/15/04

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

Koji SATO, et al.

Application No.

08/750,641

Filing Date

16 December 1996

Title

SCHEDULE SETTING AND PROCESSING SYSTEM

Art Unit

Office of Petitions

Examiner

L. Walsh

Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## DECLARATION OF DANIEL G. SHANLEY

I, the undersigned, Daniel G. Shanley, make this declaration in support of the Renewed Petition for Revival of an Application for Patent Abandoned Unintentionally Under 37 CFR § 1.137(b) for the above identified application.

- 1. From about January 9, 2006, I have been an associate of Kenyon & Kenyon LLP ("Kenyon") in Kenyon's Washington, DC office.
- 2. At Kenyon, I primarily prosecute patent applications in the mechanical and electrical arts, including prosecuting patent applications for Toyota Jidosha Kabushiki Kaisha ("Toyota"), the assignee of U.S. Patent Application Serial No. 08/750,641 ("'641").
- 3. Shawn W. O'Dowd, a partner at Kenyon, is the supervising partner for most of the applications I prosecute for Toyota.
- 4. As of July 10, 2008, I was not docketed as a responsible attorney for '641, and to my knowledge, had no prior involvement with that case. On or about September 15, 2008, I was formally docketed as a responsible attorney for '641.
- 5. On July 10, 2008, presumably because I regularly assist with Toyota applications, I was forwarded a communication from Toyota's Japanese counsel inquiring as to the status of the

'641 application. The communication was addressed to William Curry, a former Kenyon employee. On that day, I replied to Toyota's Japanese counsel, indicating that Kenyon would look into the matter.

- 6. On July 10, 2008, I asked my assistant, Ingrid Hodge, to inquire as to the status of '641 immediately.
- 7. Later in the day on July 10, 2008, I learned from Ingrid Hodge for the first time that '641 may be abandoned.
- 8. I then asked Ingrid Hodge to obtain a partial file wrapper from the USPTO, so as to confirm that the '641 application had in fact abandoned.
- 9. On or about July 13, 2008, based on my review of a copy of USPTO's filewrapper for '641, I first gained actual knowledge that '641 had become abandoned.
- 10. On or about July 14, 2008, I reported my findings regarding the status of '641 to Toyota's Japanese counsel.
- 11. On or about July 23, 2008, Toyota's Japanese counsel directed Kenyon to file a petition to revive '641. At or about this time, Shawn W. O'Dowd took over primary responsibility for the '641 application.
- 12. I had no significant involvement with the filing of a petition to revive '641, other than providing information relevant to the preparation of this declaration.
- 13. Prior to July 10, 2008, I do not recall the Office or anyone at Kenyon advising me that '641 had been abandoned.
- 14. To my knowledge and belief, the entire delay in filing the required reply for '641 from the due date for the reply until the filing of a grantable petition pursuant to 37 CFR 1.137(b) was unintentional.

I declare that all of the above statements made based on my own knowledge are true and that all of the above statements that were made on information and belief are believed to be true,

and further declare that these statements are made with the knowledge that knowing, willful and materially false statements, as are defined under Section 1001 of Title 18 of the United States Code, are punishable by fine, imprisonment, or both under Section 1001, and that such statements may affect the validity of the any patent issuing from '641 if the application is revived.

Dated: 10 December 2008

Daniel G. Shanley

PAGE 01

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

Koji SATO, et al.

Application No.

08/750,641

Filing Date

16 December 1996

Title

SCHEDULE SETTING AND PROCESSING SYSTEM

Art Unit

Office of Petitions

Examiner

L. Walsh

Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# DECLARATION OF INGRID HODGE

I, the undersigned, Ingrid Hodge, make this declaration in support of the Renewed Petition for Revival of an Application for Patent Abandoned Unintentionally Under 37 CFR § 1.137(b) for the above identified application.

- 1. From about August 31, 1999, I have been an employee at Kenyon & Kenyon LLP ("Kenyon") in Kenyon's Washington, DC office.
- 2. At Kenyon, I am a secretary who assists several attorneys in various intellectual property matters. I frequently assist Daniel Shanley, an associate at Kenyon, with various parent prosecution matters, including patent prosecutions for Toyota Jidosha Kabushiki Kaisha ("Toyota"), the assignee of U.S. Patent Application Serial No. 08/750,641 ("641").
- 3. As of July 10, 2008, to my knowledge and belief, I had had no prior involvement with 641.
  - 4. On July 10, 2008, I was asked by Daniel Shanley to inquire as to the status of '641.
- 5. On July 10, 2008, I first learned of the abandoned status of '641 from the USPTO. I was told by Ms. Barr of the Office of Petitions that '641 had abandoned. According to my notes,

Ms. Barr suggested that a "Petition to withdrawing of the holding of Abandonment" be filed along with "docket records, etc." My notes are attached as Exhibit 1 to this declaration.

- 6. I then consulted Daniel Shanley and Judith Russo regarding '641. I was instructed to obtain a partial file wrapper of '641 from the USPTO, starting with the final office action mailed November 23, 1999, so as to confirm that '641 had in fact abandoned. On July 10, 2008, I sent an e-mail to Ondrea Lugo requesting this partial file wrapper. This e-mail is attached as Exhibit 2 to this declaration.
- 7. Prior to July 10, 2008, I do not recall the Office or anyone at Kenyon advising me that '641 had been abandoned.
- 8. To my knowledge and belief, the entire delay in filing the required reply for '641 from the due date for the reply until the filing of a grantable petition pursuant to 37 CFR 1.137(b) was unintentional.

I declare that all of the above statements made based on my own knowledge are true and that all of the above statements that were made on information and belief are believed to be true, and further declare that these statements are made with the knowledge that knowing, willful and materially false statements, as are defined under Section 1001 of Title 18 of the United States Code, are punishable by fine, imprisonment, or both under Section 1001, and that such statements may affect the validity of the any patent issuing from '641 if the application is revived.

Dated: Delember 10, 2008

Jund Hodge
Ingrid Hodge

## **EXHIBIT 2 TO HODGE DECLARATION**

Page 1 of 1

#### Hodge, Ingrid

Prom: Hodge. Ingrid

Sant: Traintay, July 10, 2008 2:42 PM

Yes Lugo, Ondress

Subject: 77792:23 Fle Wrepper Request

#### Ondites.

We need a copy of the ties wrappen exercing with the three Coffice Action maked Movemen 23, 1860s for the following

U.S. Patenz Sentat No. 08/750,541 Med December 16, 1986

Who do not receil anything pater to the final Cifics Action receiled Heresteller 20, 1966,

Photos let not brow if you record strything char and how east, we can expect the capita.

Thurus, Ingrid

# **EXHIBIT 1 TO HODGE DECLARATION**

moved to k shout Muse 1999

081750,64

4123199 FOR

02/29/10 ... Adorson Archer

03/15/20 2 CAA+105

09/10/20 - Notice of Absendoned lase was absended for fature to prog

10/30/10 Change of Correspondence Address 7 times the

1 106 por . Mor. Porce of Atomory

03/22/01. File Revoration - come was lost at BTD

04)12/04 Status budoning 105

C7/10/08 Office of Politics (Pro. Bar) Tel. 57: -272-3282

The Petrinold For Melding of Atomorrows

-) double plants; etc.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Koji SATO, et al.

Application No. 08/750,641

Filing Date : 16 December 1996

Title : SCHEDULE SETTING AND PROCESSING SYSTEM

Art Unit : Office of Petitions

Examiner : L. Walsh

Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# DECLARATION OF WILLIAM E. CURRY

I, the undersigned, William E. Curry (Reg. No. 43,572), make this declaration in support of the Renewed Petition for Revival of an Application for Patent Abandoned Unintentionally Under 37 CFR § 1.137(b) for the above identified application.

- 1. I am currently a patent attorney working for the firm of Turocy & Watson, LLP. I am currently an associate, primarily specializing in preparing and prosecuting patent applications in the computer-related and mechanical arts.
- 2. From April 2, 2001 to about January 2007, I was an employee of Kenyon & Kenyon ("Kenyon") in Washington, DC, and was paid a salary by Kenyon through March 31, 2007. While employed in Washington, DC, I served as an associate.
- 3. During my employment at Kenyon, I was responsible for prosecuting patent applications primarily in the mechanical and electrical arts, including prosecuting patent applications for Toyota Jidosha Kabushiki Kaisha, the assignee of U.S. Patent Application Serial No. 08/750,641 ("641").
- 4. Exhibit 1 to this declaration is a copy of a letter I signed on November 18, 2003 which relates to an Information Disclosure Statement filed on the same date.

- 5. I am informed that Exhibit 2 to this declaration is a photocopy of a return datestamped postcard received from the USPTO indicating the receipt of Exhibit 1 by the USPTO.
- 6. Exhibit 3 to this declaration is a copy of a letter I signed on September 9, 2004 which relates to an Information Disclosure Statement filed on the same date.
- 7. I am informed that Exhibit 4 to this declaration is a photocopy of a return date-stamped postcard received from the USPTO indicating the receipt of Exhibit 3 by the USPTO.
- 8. Exhibit 5 to this declaration is a copy of a letter I signed on September 15, 2004 which relates to a status inquiry (entitled "REQUEST FOR STATUS") filed on the same date.
- 9. I am informed that Exhibit 6 to this declaration is a photocopy of a return date-stamped postcard received from the USPTO indicating the receipt of Exhibit 5 by the USPTO.
- 10. At the time Exhibits 1, 3, and 5 were submitted to the USPTO, it is my understanding that my docket entry for this case read "PTO lost file-awaiting OA." As evidenced by my status inquiry letter of September 15, 2004 (Exh. 5), it was my belief that the USPTO had lost the paper file of '641, that we had submitted papers to the USPTO to reconstruct '641 on March 23, 2001, and that we had submitted an earlier status inquiry regarding '641 on September 8, 2003. At this point, and until being contacted by Kenyon for this declaration, it was my belief that the next action on this case would be taken by the USPTO, not by Kenyon.
- 11. During my employment at Kenyon, I do not know of a case where I filed an Information Disclosure Statement or a Status Inquiry in a case known to be abandoned.
- 12. During my employment at Kenyon, to my recollection, neither the USPTO nor anyone at Kenyon advised me that '641 had been abandoned.
- 13. During my employment at Kenyon, to my recollection, neither the client nor anyone at Kenyon requested me to allow '641 to become abandoned.
- 14. After leaving my employment at Kenyon, I have not been involved in prosecution of, or been provided information regarding 641 until being recently advised of the present Petition.

15. To the extent of my knowledge and belief, any delay in paying an issue fee for '641 from the due date of the issue fee until the filing of a grantable petition pursuant to 37 CFR 1.137(b) which occurred during my involvement with '641 was unintentional.

I declare that all of the above statements made based on my own knowledge are true and that all of the above statements that were made on information and belief are believed to be true, and further declare that these statements are made with the knowledge that knowing, willful and materially false statements, as are defined under Section 1001 of Title 18 of the United States Code, are punishable by fine, imprisonment, or both under Section 1001, and that such statements may affect the validity of the any patent issuing from '641 if the application is revived.

Dated: July 13, 2009

William E. Curry

Milling

## **EXHIBIT 1 TO CURRY DECLARATION**

, ,

#20/100 PATENT /3/4 Attorney Docket No. 77792/23



# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

APPLICANT(s) : Koji SATO, et al.

SERIAL NO.

: 08/750,641

FILED

December 1, 1995

FOR

: Schedule Setting and Processing System

EXAMINER

O. Hemandez

ART UNIT

2316

INFORMATION DISCLOSURE STATEMENT

RECEIVED

GROUP 3600

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR § 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the Information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom. The filling of this Information Disclosure Statement and the enclosed PTO Form No. 1449, shall not be construed as an admission that the Information cited is prior art, or is considered to be material to patentability as defined in 37 C.F.R. § 1.56(b). It is believed that no fees are due, but

authorization is hereby given to charge any additional fees due, or to credit any overpayment, to deposit account 11-0600.

Respectfully submitted,

KENYON & KENYON

Date: NOV 18, 2003

William E. Curry Registration No. 43,572

Kenyon & Kenyon & Kenyon 1500 K Street, N.W. Washington, D.C. 20065 Telephone: (202) 220-4200 Facsimile: (202) 220-4201

## **EXHIBIT 2 TO CURRY DECLARATION**

The stamp of the Patent Office hereon may be taken as acknowledging the receipt, on the date stamped, of:

INVENTOR(S):

Koji SATO et al. 08/750,641

SERIAL NO.:

FILED:

December 16, 1996

TITLE:

SCHEDULE SETTING AND PROCESSING SYSTEM

GROUP ART:

EXAMINER:

O. Hernandez

### PAPERS FILED:

. Information Disclosure Statement (2 pp.) . 1449A/PTO – Info. Disclosure Statement by Applicant

. Foreign Patent Document - CN 1090666A

WEC/rl

77792/23

November 18, 2003

# **EXHIBIT 3 TO CURRY DECLARATION**

a same

### PATENT

KON

Docket No. 77792/23

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**APPLICANTS** 

Koji SATO, et al.

SERIAL NO.

08/750,641

FILED

1 December 1995

FOR

SCHEDULE SETTING AND PROCESSING SYSTEM

GROUP ART UNIT:

2316

RECEIVED

**EXAMINER** 

O. Hemandez

SEP 1 5 2004

COMMISSIONER FOR PATENTS

P. O. Box 1450

Alexandria, Virginia 22313-1450

GROUP 3600

## INFORMATION DISCLOSURE STATEMENT UNDER 37 G.F.R. § 1.97 & § 1.98

SIR:

In conformance with Applicants' duty of disclosure under 37 C.F.R. § 1.56 and § 1.97(c)(1), the references listed on the attached form PTO-1449 are hereby brought to the Examiner's attention.

In compliance with 37 C.F.R. § 1.98, copies of the references are submitted herewith.

# Statement of Relevancy

The references were cited in the European Search Report of a related European Patent Application. A copy of the Search Report is enclosed.

# Statement Pursuant to 37 C.F.R. 1,97(e)[1]

The undersigned attorney hereby states that each itom of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filling of the information disclosure statement.

It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear in the "references cited" on any patent to issue therefrom.

Respectfully submitted,

Date: 9 September 2004

William E. Curry (Reg. No. 43,572)

KENYON & KENYON 1500 K,Street, N.W. Suite 700 Washington, D.C. 20005

(202) 220-4200 Tel. (202) 220-4201 Fax

· DCC1. 377991 w 1

## **EXHIBIT 4 TO CURRY DECLARATION**

The stamp of the Patent Office hereon may be taken as acknowledging the receipt, on the date stamped, of

INVENTORS: Koji SATO, et al. SERIAL NO.: 08/750,641 FILED: 1December 1995

Enclosed: INFORMATION DISCLOSURE STATEMENT, FORM

PTO-1449, SEVEN REFS., EP SEARCH REPORT

WEC/is

77792/23

09/09/04

# **EXHIBIT 5 TO CURRY DECLARATION**

PATENT Docket No. 77792/23

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SCHEDULE SETTING AND PROCESSING SYSTEM

Inventors

Koji SATO, et al.

Serial No.

08/750,641

Filed

16 December 1996

For

10 1900011100( 1990

Group Art

2316

Examiner

O. Hernandez

COMMISSIONER FOR PATENTS P. O. Box 1450 Alexandria, Virginia 22313-1450

### **REQUEST FOR STATUS**

SIR:

A request for continued prosecution with an Information Disclosure Statement was filed in this case on 15 March 2000. A preliminary amendment was faxed to the Examiner on 29 March 2000. A change of correspondence address was filed on 30 October 2000. Thereafter, a copy of the entire file was submitted for re-construction of the lost file on 23 March 2001. A status inquiry was filed on 8 September 2003. Information Disclosure Statements were filed on 18 November 2003 and 9 September 2004, respectively.

It is respectfully requested that the undersigned be informed when an Office Action or other communication from the Patent Office may be expected in this case.

Respectfully submitted,

KENYON & KENYON

Dated: 15 September 2004

William E. Curry (Reg. No. 43,572)

1500 K Street, N.W., Suite 700 Washington, DC 20005 Tel: (202) 220-4200 Fax: (202) 220-4201 505235

# **EXHIBIT 6 TO CURRY DECLARATION**

The stamp of the ratent Office hereon may be taken as acknowledging the receipt, on the date stamped, of

Inventor(s): Koji SATO, et al.

Serial No..: 08/750,641

Filed:

16 December 1996

Enclosed:

REQUEST FOR STATUS

WEC/is

77792/23

09/15/04